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Excitation temperature and electron number density distributions experienced by analyte species in an inductively coupled argon plasma

Dennis James Kalnicky *Iowa State University*

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Excitation température and electron number density distributions experienced by analyte species In an Inductively coupled argon plasma

by

Dennis James Kalnlcky

A Dissertation Submitted to the Graduate Faculty in Partial Fulfillment of The Requirements for the Degree of DOCTOR OF PHILOSOPHY

> Department: Chemistry Major: Physical Chemistry

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CHAPTER I: INTRODUCTION

Inductively coupled, argon-supported plasmas (ICP's) possess properties that make them useful atomizationionization-excitation sources for analytical atomic emission spectroscopy (1). Among the several fundamental ICP properties that have not been adequately characterized are the excitation temperature distributions and the electron number densities (hereafter denoted by n_{ρ}) that are experienced by the analyte species and the argon support gas. Most measurements reported to date have primarily characterized the temperature and n_a environment of the support gas in plasmas that have not been extensively used for analytical purposes (2-14). Recently, several experimental studies of the excitation temperature and n_{ρ} distributions experienced by analyte species have been reported (15-20) and theoretical treatments of these and other relevant ICP properties have been discussed (21-23). Despite these efforts significant discrepancies and inconsistencies still exist among the reported results. The following typical examples may he cited. First, effective (spatially integrated) temperatures and number densities were reported by Boumans and de Boer (19) who concluded that their data could not be used for explaining interelement effects because it was useless to "speculate on'what precisely happens without detailed knowledge of the complex changes in the spatial structure of

the ICP." Second, some preliminary radial (spatially resolved) temperatures reported by Kornblum and de Galan (l6) exhibited large scatter and peculiarly steep off-axis peak behavior. Third, the n_e values determined by Jaroz et al. (2b) and Mermet (17a) from Stark broadening measurements were two orders of magnitude greater than those obtained from Saha ionization equilibrium calculations based on Mg atom/ion emission line intensity ratios. Fourth, Kalnicky et al. (18) recently reported spatially resolved, radial excitation temperatures experienced by the Fe I thermometric species that were essentially in agreement with the values reported by Mermet and Robin (2a) and Alder and Mermet (15) and with the Doppler temperatures reported by Human and Scott (20) but disagreed significantly with excitation temperatures reported by Kornblum and de Galan (16), who cautioned that "only the overall shapes of the distributions and the order of magnitude of the quantities" could be concluded from their data. Fifth, recognized differences still exist between computer simulation and experimental studies of ICP's used for spectrochemical analysis (21,22). Finally, even the temperature measurements themselves are rendered Inconsistent by the lack of accuracy and agreement in published transition probability data (15,17,18).

The large discrepancies between the n_{ρ} values calculated by line broadening methods and those obtained from Saha

ionization calculations, which were reported by Jaroz et al. (2b) and Mermet (17a) led these investigators to question the validity of the local thermodynamic equilibrium (LTE) assumption for the operating conditions of their plasma. Clearly, more investigations on temperature and n_e distributions are required to interpret discrepancies in reported values and to lead to a more definitive understanding of the atomization, ionization, and excitation processes occurring in analytically useful TCP's. The purpose of this investigation is, therefore, to examine the spatially resolved, radial excitation temperatures and radial n_{ρ} distributions experienced by the analyte species. These examinations include observations at several sites in the plasma and, with and without the presence of an easily ionized element (EIE).

CHAPTER II. DIAGNOSTIC TECHNIQUES

LTE in Analytical Inductively Coupled Plasmas

In a rigorous sense, temperature is a physically meaningful concept only when a system is in complete thermodynamic equilibrium (TE). In such a system a unique value of temperature describes: (1) a Maxwellian velocity distribution for all particles; (2) excitation according to Boltzmann's distribution law; (3) ionization according to the Saha-Eggert $relationship;$ (4) dissociation-recombination according to the Guldberg-Waage mass action law; and (5) the distribution of the electromagnetic radiation according to Planck's law (24-31). However, the strong temperature and density gradients which exist in almost all laboratory plasmas prevent the establishment of TE and it follows that the radiation collected from these plasmas strongly deviates from the Planck function distribution (29). Despite these deviations from TE, conditions may exist for which the useful concept of local thermodynamic equilibrium can be employed in these plasmas. The latter applies when radiation equilibrium is not established but all other TE relationships remain valid and are governed by the "local temperature" even though different temperatures are allowed at different points in the plasma. The LTE state is reached when the local rate of equipartition of energy over the different degrees of freedom

it

is much faster than the net rate of transport of heat, mass, and radiation through the plasma.

When the various degrees of freedom are not equilibrated it is useful to designate "temperatures" which are named after the special process to which they apply, e.g., translation temperature, excitation temperature, etc. The better the agreement between these temperatures, the closer the approach to equilibrium, and the more physically meaningful the temperature concept. The conditions necessary to assure the validity of the LTE assumption for common laboratory plasmas have been discussed in detail elsewhere (29,31) and will not be reiterated here. Likewise, many excellent theoretical treatments related to plasma diagnostics are available $(3,11,24,29,32-48)$. Therefore, the following discussions will be limited to those techniques relevant to temperature and n_o measurements.

Spectroscopic methods of temperature measurement are generally considered superior to probe techniques because the former do not disturb the microscopic system and, in fact, may be the only feasible approach for high temperature sources or for those sources which are inaccessible to probes (3b,47,48).

The LTE state is generally assumed to exist in the central portions of argon-supported, inductively coupled plasmas sustained at atmospheric pressure (18). If this

assumption is accepted, spectroscopic techniques may be combined with the Boltzmann energy distribution and the Saha-Eggert ionization equilibrium relationships to yield temperature and n_o distributions. The limitations and physical significance of the temperature values so obtained have been adequately reviewed (30,36,40). In particular, caution must be exercised in interpreting the physical significance of the temperatures and $n_{\rm c}$ values determined unless appropriate mathematical treatments, such as Abel inversion techniques $(49-58)$, are used to transform the experimentally measured lateral (average) distributions of spectral line radiances to their corresponding spatially resolved radial (local) distributions. The requirements of the Abel inversion techniques employed in this investigation are discussed in a later section of this Chapter.

The observations of Jaroz et al. $(2b)$ and Mermet (17) and the results of this investigation suggest that the LTE assumption may be invalid for some operating conditions employed in the spectrochemical applications of these plasmas. Indeed, the assumption of LTE has been questioned for other plasmas as well $(45,59-63)$.

Temperature Calculations, Thermometric Species, and Transition Probabilities

The relative lateral intensity of an emission line radiating from a source in LTE with negligible self-absorption

and homogeneous analyte distribution is given by (29,30,40)

$$
I_{qp}(x) = \frac{d}{4\pi} A_{qp} h v_{qp} n_q(x)
$$
 (1)

where, $d =$ optical depth of the plasma within the viewing field of the spectrometer,

- A_{cm} = relative transition probability of spontaneous emission for the transition $q \longrightarrow p$,
	- h = Planck's constant,
- v_{on} = frequency of the emission transition, $n_{\alpha}(X)$ = number density of the emitting level at
	-

lateral displacement X.

This equation describes the space-integrated (averaged) emission **over** the depth of the plasma and, accordingly, represents the power radiated per unit solid angle per unit area, which is collected within the viewing field of the spectrometer (29,40). Equation 1 may be combined with the Boltzmann expression for n_{α} to yield

$$
I_{qp}(x) = \frac{d}{4\pi} A_{qp} h v_{qp} \frac{g_q}{g_o} n_o(x) exp - \left\{ \frac{E_q}{k T(x)} \right\}
$$
 (2)

- where, $g_{\alpha}g_{\alpha} =$ statistical weights of the emitting and ground level, respectively,
	- $n_{\alpha}(X)$ = number density of the ground level at lateral displacement X,
		- E_q = energy of the emitting level,

 $k = Boltzmann's constant$, $T(X)$ = temperature at lateral displacement X.

The desired relative radial (local) intensities $(J_{\text{qp}}^{\text{}})$ are obtained from Abel inversion of the measured lateral (averaged) intensity profiles and represent spatially resolved, perunit-volume quantities.

With consideration of radial quantities and by rearrangement of Equation 2, the radial "slope" temperature is given by **(29,33,36,40)**

$$
\ln\left(\frac{g_q A_{qp} v_{qp}}{J_{qp}(R)}\right) = \frac{E_q}{kT(R)} + \ln\left(\frac{4\pi g_q}{h n_o(R)}\right) \tag{3}
$$

where R denotes the radial position in the plasma. For emission lines originating from the same ionization stage a plot of $\ln \frac{gAv}{J}$ vs. E_q should yield a straight line with slope equal to $1/kT(R)$ where, $T(R)$ is the "slope" temperature at radius R. Equation (3) may be solved simultaneously for two spectral emission lines ($q+p$ and $t+s$) to yield the radial "two-line" temperature defined by the following expression:

$$
T(R) = 0.6247(E_q - E_t) / \left[log_{10} \left(\frac{g_q A_{qp} v_{qp}}{g_t A_{ts} v_{ts}} \frac{J_{ts}(R)}{J_{qp}(R)} \right) \right]
$$
(4)

when E_q and E_t are expressed in reciprocal centimeters (cm⁻¹).

Certain conditions must be satisfied before Equations 3 and 4 may be used for temperature determinations, namely, relative radial emission Intensities must be directly proportional to Integrated line radiances and they must not be affected by self-absorption (30). The Importance of employing quantities strictly proportional to integrated line radiances for calculations based on spectroscopic measurements where slit effects are important has been extensively treated for molecular (64) as well as atomic lines $(24,30,65)$, therefore, only a brief summary will be presented here.

When emission from a spectral transition is monitored by a spectrometer, the true profile is distorted by the instrument with the distortion being proportional to the reciprocal of the resolving power of the monochromator. These distortions are of electrical and optical origin and it is convenient to treat them separately. Accurate intensities can be obtained only if instrumental distortions are properly accounted in the measurement. When a spectrometer is set at a single value, a discrete wavelength is not transmitted but, rather, a range of wavelengths are collected each of which contributes to the recorded line profile. This wavelength interval is referred to as the bandpass of the Instrument. The weight of each contribution can be expressed as a function of displacement from the line center and determines a curve called the slit function. Accurate Intensity measurements are made only when the bandpass of the instrument is

negligible in comparison to the halfwldth of the line intensity profile. The exit slit distribution is predominantly determined by diffraction effects for slits narrow in comparison to the wavelength of the impinging radiation. When the slits are sufficiently wide, the contributions to the geometrical image from diffraction and optical distortions are rendered negligible. Thus, if the width of the exit slit is much wider than the entrance slit, the geometrical image of the latter falls entirely within the band pass of the spectrometer and the measured intensity is proportional to integrated line radiance (30). A trapezoidal line shape should be obtained. Aberration and diffraction effects tend to round off the top and base of the profile.

The lateral emission intensities obtained here were measured with the entrance and exit slits of the monochromator set at the same width (15 µm). Time integrated intensities were obtained at the maximum of the respective emission line profiles when the monochromator was "peaked" on a line. Under these conditions, the measurements did not represent the integrated area (radiance) under the line profiles. However, the peak intensities for Fe I thermometric lines were proportional to integrated radiances when the latter were obtained with the exit slit much wider than the entrance slit (30). Consequently, peak intensities were employed in this study because: (1) these intensities were

proportional to integrated line radiances, (2) the resolution of the monochromator deteriorated rapidly as the exit slit was opened wider than the entrance slit, and (3) more elaborate measurement procedures were required to obtain the Integrated radiances.

Neutral iron was selected as the thermometric species because its emission lines possess desirable characteristics for spectroscopic temperature determinations $(30, 40)$. Among the factors considered in the line selection process were: (a) maximal spread in excitation potentials to minimize relative error in calculated temperatures, (b) freedom of spectral interference from plasma components, (c) availability of accurate transition probabilities, and (d) wavelength proximity precluding the necessity of calibrating the detector response with respect to wavelength. So that the measurements and Abel inversion operations would not be too unwieldy, the number of lines initially employed was restricted to four.

A number of Pe I transition probability tabulations were examined for these lines (30,66-73a); of the most recent compilations, only the Reif (30), and the Banfield and Huber (65) collections provided transition probability data on all of the four lines, and Huber and Parkinson (67) on only two of the lines. These lines, their wavelengths, excitation energies and the statistical weights of the emitting levels (74), and relevant transition probability

data are summarized in Table I.¹ The relative transition probabilities for the useful sets of data were normalized to the Pe I 371.994 nm line because the lifetime of this transition is well known (66,67). It is evident that there is good agreement among the Pe 382.043, 382.444, and **382.588** nm lines for the Reif and Banfield and Huber data. Thus, a priori, good agreement in the temperature profiles should be obtained for calculations based on these lines, but a lack of consistency should be evident if the Pe 381.584 line were included. Indeed, temperatures obtained with various combinations of transition probabilities involving the Pe I **381.584** nm line showed this lack of consistency particularly for those calculated from two-line combinations **(I8).** Because virtually identical temperature profiles resulted from three-line slope temperature calculations (18) for transition probability data from references 30 and 66, the three-line set excluding the Pe I 381.584 nm line was considered acceptable for Inclusion in studies for this dissertation research.

 $¹$ After this dissertation research was completed an additional</sup> transition probability tabulation (73b) was found which provided data on three of the four lines in Table I. Consideration of these values revealed good agreement with the Pe I 382.043 nm and 382.588 nm line data but not for the 381.584 nm line. Inclusion of these data would neither change the conclusions drawn about these lines nor affect the temperature results obtained with the lines employed from this table.

λ (nm) ^a	E_q (cm ⁻¹) ^b	$\epsilon_{\sf q}^{\;\;\;{\sf c}}$	R	BH	Relative Transition Probabilities ^d HP
381.584	38175	7	0.948	1.540	1.530
382.043	33096	9	0.638	0.656	0.882
382.444	26140	7	0.0283	0.0292	
382.588	33507	7	0.567	0.610	

Table I. Fe I emission line data (four-line set)

 a_{λ} = wavelength of the transition q -> p, and for subsequent tables.

 E_{α} = excitation energy of the emitting level, and for subsequent tables.

 ${}^{\text{c}}$ g_a = statistical weight of the emitting level, and for subsequent tables.

^Relative transition probabilities normalized to the Fe I 371.994 nm line by $A_{271,00}$ = 0.163: R = Reif (30); BH = Banfield and Huber **(66);** HP = Huber and Parkinson **(67).**

An additional Fe I ten-line set was selected for temperature measurements; the relevant data for these lines are summarized in Table II.¹ In selecting these lines, the criteria discussed previously as well as consistency among the transition probability data were emphasized. An Ar I eight-line set was also selected for determination of the excitation temperature environment experienced by the support gas. The relevant data for these lines are given in Table III **(74-80).**

The operation of the temperature and Abel inversion computer program for slope temperature calculations employed in this dissertation research is discussed in Appendix A. A listing of the source statements of this program is also included in this appendix.

Abel Inversion Calculations and Source Symmetry

Excellent discussions of the basic principles of the Abel inversion calculation and the various methods of solution are found in references 49 and 50. Preliminary

 T The Bridges and Kornblith tabulation (73b) also provided transition probability data on these lines which were In excellent agreement with the values listed in Table II. As before, inclusion of these data would neither significantly change the conclusions drawn about these lines nor the temperatures obtained with them.

λ (nm)	E_q (cm ⁻¹)	E_{q}		Relative Transition Probabilities	
			R	BH	HP
367.992	27167	9	0.0138	0.0151	0.0169
370.557	27395	$\overline{7}$	0.0328	0.0341	0.0372
371.994	26875	11	0.163	0.163	0.163
372.256	27560	5	0.0505	0.0531	0.0580
373.487	33695	11	0.886	0.776	0.867
373.713	27167	9	0.143	0.140	0.143
374.826	27560	5	0.0904	0.0870	0.0994
374.949	34040	9	0.744	0.681	0.798
375.824	34329	$\overline{7}$	0.611	0.611	0.674
376.379	34547	5	0.523	0.610	0.622

Table II. Fe I emission line data (ten-line set)

Table III. Ar \mathbf{I} emission line data									
λ (nm)	E_{α} (cm ⁻¹)	\mathbf{g}_{q}		A_{qp} x 10^{-7} (sec ⁻¹) ^a					
			AP	MC	CS	BTW	G	BW	
425.118	116,660	3	0.0085	0.0089	0.0132	0.0075	0.0079	0.0076	
425.936	118,871	Ī.	0.360	0.3665	0.450	0.3643	0.360	0.320	
426.629	117,184	5	0.028	0.0265	0.036	0.0294	0.028	0.023	
427.217	117,151	$\overline{3}$	0.071	0.0688	0.090	0.0769	0.071	0.063	
430.010	116,999	\mathfrak{S}	0.034	0.0318	0.042	0.0366	0.034	0.031	
433.356	118,469	5	0.049	0.0506	0.074	0.0551	0.049	0.048	
433.535	118,459	3	0.0333	0.0308	0.044	0.0385	0.040	0.029	
434.545	118,408	3	0.028	0.0273	0.041	0.0278	0.028	0.022	

Table III. Ar I emission line data

 a Absolute transition probabilities: AP = Adeock and Plumtree (75); MC = Malone and Corcoran (76); CS = Corliss and Shumaker (77); BTW = B. T. Wujec (78); G = Gericke (79); BW = B . Wende (80).

calculations Indicated that the Cremers and Blrkebak data approximation method (50) was superior to the numerical method of Nestor and Olsen (49). The Cremers and Blrkebak method provided: (a) better agreement of calculated radial coefficients with known values $(\nu l-2\%)$ when integrable test functions were employed (53) and, (b) less scatter in calculated radial intensities when real data were employed. The computational procedures and error analyses for these methods are discussed in Appendix B. An P-test for best fit (8l) from the linear to t.he maximum allowed 4-th degree fit and polynomials of the type of Equations B20 and B36 in Appendix B were applied to smoothed lateral intensity profiles when the Cremers and Blrkebak method was employed. Second degree polynomials with $\sqrt{20}$ points per profile were generally found to provide adequate fits for bell-type lateral profiles but higher degree fits were required for toroidal distributions .

The optical system employed and the emission symmetry of the radiating source must meet several requirements if lateral emission profiles are to be reliably transformed by Abel inversion techniques. Figure B-1 (Appendix B) illustrates the spatial relationship between the measured lateral intensity, $I(X)$, at displacement X; and, the radial intensity, $J(R)$, at radius R from the center of a circularly symmetric source when normal side-on observation is employed. Examination of

this diagram reveals that the following conditions are necessary prerequisites for reliable radial intensity calculations:

- 1) The depth-of-field (DOF) of the optical transfer system (OTS) must extend beyond the source boundaries.
- 2) The analyte emission Intensity distribution must be circularly symmetric about the plasma axis.

In addition to these requirements, the plasma source must be optically thin, i.e., there must be negligible selfabsorption of the emission lines of interest.

For the ideal case the OTS would have infinite DOF so that all emission points within the source volume along the optical axis would be transferred with exactly the same efficiency. However, the DOF of any real OTS is not infinite so that defocussing along the optical axis is an important consideration (82) when relative intensity measurements are made. For radial Intensity calculations a DOF extending beyond the plasma boundaries is sufficient. This is accomplished with a low aperture optical system (f/40 to f/50) in which the lens and monochromator entrance slit are stopped to a diameter so that the plasma volume observed is essentially cylindrical. When the latter condition prevails the observed solid angle is chosen so that any two lines $Y_1 = X$ and $Y_2 = X + \Delta X$ (Figure B-1) defining the lateral

sampling zone for an $I(X)$ value can be considered parallel. For the wavelength range employed in the present investigation, the focal length of the lens was about 150 mm so that a 3 mm diaphragm produced an approximate f/50 system. Hence, at a plasma radius of 10 mm, the f/50 system sampled radiation over a cross section of 0.2 mm diameter. With the above conditions the DOF extended beyond the plasma boundaries and, defocussing problems were minimized. The large aperture optical systems normally employed in analytical investigations cannot be used if precise lateral intensities are to be measured. The enlarged acceptance cone of such systems introduces defocussing problems into lateral intensity measurements, leading to distorted lateral intensity profiles, and, subsequently, erroneous radial intensity and temperature distributions.

The second condition necessary for reliable radial intensity determination was verified when profiles across the entire emission zone showed circular symmetry about the plasma axis. Experimental verification of this symmetry criterion is presented later in the RESULTS section (Chapter V) of this thesis. The requirement of negligible self-absorption was verified for the analyte thermometrlc lines of Interest when plots of log I(X) vs. log C showed linearity over several orders of magnitude 'n concentration.

Electron Number Density Measurements

Saha-Eggert's ionization equilibria methods

The theory and application of n_a determinations from Saha-Eggert ionization equilibrium calculations has been discussed elsewhere $(29,36,40,83)$. This method requires the measurement of relative emission line intensities from successive ionization stages, generally for the neutral atom and singly ionized species. When these intensities are combined with the known equilibrium relationships between spectral emission and temperature and with the Saha-Eggert's expression, the n_a may be calculated. The n_a values so obtained are dependent upon the assumption that the plasma is in the LTE state, which may not be the case.

Five elements with neutral atom ionization potentials ranging from 6.11 eV (Ca I) to 9-39 eV (Zn I) were selected for atom/ion emission line intensity measurements. The factors considered in the selection process were: (a) availability of sufficiently intense atom/ion line pairs; (b) availability of transition probability data for the atom and ion lines; (c) closely matched excitation energies for the atom and ion lines so that the exponential temperature effect would be minimized; (d) freedom from spectral interferences; and (e) wavelength proximity precluding the necessity of calibrating the dectector response with respect to wavelength. The line wavelengths, their excitation

energies, statistical weights of emitting levels (74,84) and transition probability data (66,85-92) for the species selected are given in Table IV. The last column of this table gives the averages and \pm limits of the gA λ ratios listed. The relative ± limits range from about **±2%** for Ca to ±12% for Cd.

For the emission lines of the neutral atom and first ionized species the radial n_{ρ} is given by (29,36,40)

$$
n_{e}(R) = 4.83 \times 10^{15} \frac{J^{o}(R)}{J^{+}(R)} \frac{g^{+}A^{+}\lambda^{o}}{g^{o}A^{o}\lambda^{+}} T(R) \frac{3/2}{}
$$
(5)

$$
x \exp \left\{ \frac{E^{+} - E^{o} - E^{o}_{1} + \Delta E^{o}}{k T(R)} \right\}
$$

where, $({}^{0})$, $({}^{+})$ denote the neutral atom and singly ionized species, respectively,

- λ = wavelength of the emission transition,
- c E_i^{U} = ionization energy of the neutral atom species,

$$
\Delta E_{\star}^{\circ}
$$
 = lowering of the ionization energy.

A ΔE , \circ correction was applied to the ionization energy to account for the interaction of free atom states with the electric microfield, which is produced by the charged plasma particles (31,36). A number of methods for calculating ΔE_1° have been reported $(31,36,59)$. When the Unsöld formula (31) was applied, a value of $\Delta E_i^o = 403 \text{ cm}^{-1}$ (0.05 eV) was found

Species		λ (nm) E_{α} (cm ⁻¹)	ϵ_{α}	$\frac{g^O A^O \lambda^+}{g^+ A^+ \lambda^O}$ Ratios ^a	Average Ratio
Ca I Ca II	422.673 396.847	23652 25192	3 2	$2.1738(SL)$, $2.0488(SG)$, $2.1029(NBS)^D$	2.0911 ± 0.0379
Mg I Mg II	285.213 279.553	35087 35732	3 4	1.4317(SL), 1.504(ADJS), $1.333(SG)$, 1.3578(NBS)	1.4066 ± 0.0773
Mg II	280.270	35652	2 ¹	2.8708(SL), 3.0161(ADJS), 2.6649(SG), 2.743(NBS)	2.8273 ± 0.154
Fe I Fe II	252.285 258.588	39626 38660	9 8	$3.4050($ AS 1), 2.8658(BH/H)	3.1354 ± 0.270
Cd I Cd II	228.802 226.502	43692 44136	$\frac{3}{2}$	2.6575(AS 2), 3.3949(BS)	3.0262 ± 0.369
Zn I Zn II	213.86 206.19	46745 48481	3 2	$3.0290($ AS 2), $2.5203(BS)$	2.7747 ± 0.254

Table IV. Emission line data for Saha-Eggert's electron number density calculations

 a Numerals I,II and superscripts $(^0)$, $(^{\dagger})$ denote neutral atom and first ion species, respectively.

 b Transition probability sources: ADJS = Andersen, et al. (85); AS 1 = Assousa and Smith (86); AS 2 = Andersen and Sorensen (87); BH/H = Banfield and Huber (66) and Huber **(88);** BS = Bauman and Smith **(89);** NBS = National Bureau of Standards (90); SG = Smith and Gallagher (91); SL = Smith and Liszt (92).

to be compatible with the temperatures and densities considered In this study.

The ratio of the Ion number density to that of the neutral atoms Is given by (40)

$$
\frac{n_X+(R)}{n_X o(R)} = \frac{J^+(R)}{J^0(R)} \cdot \frac{g^0 A^0 \lambda^+}{g^+ A^+ \lambda^0} \cdot \frac{Z^+[T(R)]}{Z^0[T(R)]} \cdot \exp\left\{\frac{E^+ - E^0}{kT(R)}\right\}
$$
(6)

where, $Z[T(R)]$ is the partition function for the radial temperature T(R). Partition functions for neutral atom and singly ionized species were calculated from the method suggested by Griem (36), which included a correction for the lowering of the ionization energy. The details of the partition function calculations and the Saha $n_{\rm g}$ computer program employed in this investigation are discussed in Appendix C.

Stark broadening methods

The theory and application of Stark broadening methods for the determination of n_{ρ} in plasmas has been discussed extensively (36,93-98). Atomic hydrogen lines are most frequently employed for these calculations because of the availability of extensive tabulations of Stark broadening parameters for the complete line profiles (36,96,98) and because the theory is somewhat simpler to apply and more accurate than that for multielectron atomic species. Griem (36,96) has also tabulated Stark broadening parameters for

the emission lines of a number of other neutral atom and singly ionized species.

 β line The H ine (486.13 nm) was selected for n_e calculations because; (a) it is free from spectral interference by plasma components; (b) the range of half-widths anticipated ($\sqrt{1.0}$ to 5.0 \AA) and the relative intensities observed were of sufficient magnitude to allow accurate measurement at various observation heights in the plasma; (c) extensive Stark data were available for the complete line profile (96,98) encompassing a broad range of n_a values and temperatures; and (d) greater accuracy is generally associated with Stark calculations for the H_g line than for other atomic hydrogen lines. The theory developed by Griem (36), Kepple and Griem (95) and Griem (96) and the tabulated Stark parameters from Videl et al. (98) were employed in these calculations.

The Stark width for the H_g line is related to n_e by (94-96,98) \sim \sim

$$
n_e(R) = \left\{ \frac{\Delta \lambda_{\frac{1}{2}}^S(R)}{2\alpha_{\frac{1}{2}}(2.61 \text{ e})} \right\}^{3/2}
$$
 (7)

where $\Delta\lambda_{\mathbf{k}}^{S}(R)$ = Stark half-width at radius R, α_k = reduced Stark profile half-width parameter, $e =$ electrostatic unit of charge.

Equation 7 cannot be used directly unless experimental line

profiles have been deconvoluted to account for Doppler and instrument broadening. This correction, on a half-width basis, is not a straightforward procedure. A simpler alternative is to use pure Stark reduced profiles, $S(\alpha)$, at various n_p and temperature combinations (98) as base values and then apply convolution calculations to account for other broadening contributions. These calculations were accomplished as follows: (a) Doppler profiles were convoluted with the $S(\alpha)$ profiles (98) to yield Doppler corrected profiles, $S^D(\alpha)$ and (b) the instrument profile was measured (99) and then convoluted with the $S^D(\alpha)$ profiles to yield the desired instrument and Doppler corrected Stark profiles, $S(\alpha^*)$. This convolution procedure yielded a set of reduced half-widths, α'_{k} , which could be compared directly with experimentally observed H_R half-widths, $\Delta \lambda^{\circ}(R)$. When these quantities were substituted for $\Delta \lambda_{\frac{1}{2}}^S(R)$ and $\alpha_{\frac{1}{2}}$ in Equation 7 and the constants were evaluated, the radial n_e was given by

$$
n_e(R) = 7.9658 \times 10^{12} \left\{ \frac{\Delta \lambda^0}{\alpha} \frac{(R)}{k} \right\}^{3/2}
$$
 (8)

A discussion of the details and accuracy of the convolution procedure and of the H_g n_e computer program employed in this study is given in Appendix D.

Ar I lines The Ar I 542.135 and 549.588 nm lines were also employed for effective n_o determinations; the Stark parameters given by Griem (36,96) were used in these

calculations. The parameters for n_{ρ} values and temperatures not listed were obtained by using the scaling procedure indicated in the description of these parameters (36,96).

For neutral atom emitters the Stark half-widths are given approximately by (36,96)

$$
\Delta\lambda_{\frac{1}{2}}^{S} \approx 2w[1 + 1.75 A (1-0.75 R')]
$$
 (9)

where $w =$ electron impact width, proportional to n_{ρ} , A = ion broadening parameter, proportional to $(n_{0})^{\frac{1}{4}},$ $R^{\texttt{f}}$ = ratio of the mean distance between ions, r_1 , to the Debye radius $\rho_D^{\text{}}$.

The R^{*} term in Equation 9, which is a measure of ion-ion correlations and Debye shielding, is given by (36,96)

$$
R^{\prime} = r_1 / \rho_D = 1.82 \pi^{1/6} \frac{e}{(kT)^{\frac{1}{2}}} (n_e)^{1/6}
$$
 (10)

where, k is the Boltzmann constant and other symbols have their usual meaning. Values of w and A are tabulated for Ar I lines by Griem (36,96) for $n_e = 10^{16}$ cm⁻³ and temperatures of 2,500; 5,000; 10,000; 20,000; and **40,000** K. Stark half-widths were calculated from the w and A parameters given for the Ar I 542.135 nm line and for the 549.588 nm line in references 36 and 96, respectively. These $\Delta\lambda$ ^S₁ values were obtained for each line at the temperatures listed and for
n_e from $10^{13.5}$ to 10^{16} cm⁻³ in half-order steps by appropriate scaling of the tabulated w and A values (36,96). The Gaussian contribution to Ar I line half-widths, $\Delta \lambda^{\rm G}_{\chi}$, was calculated from the Doppler and Instrument profile contributions (99); a value of $\Delta \lambda_{\frac{k}{2}}^G = 0.22$ Å was employed for-temperatures of $T = 2,500$ K to 10,000 K.

CHAPTER III: FACILITIES

Experimental Facilities

The experimental facilities, except as modified for this study, were adapted from those previously described by Scott et al. (100). The principal components of the equipment employed here are outlined in Table V and the modifications incorporated for this study are described below.

The mechanism for positioning the impedance matching network was altered to provide for adjustment along the optical axis as well as providing the capability of precise horizontal and vertical positioning of the plasma torch. To achieve the latter the impedance matching network and plasma torch were mounted on a stand which allowed movement of the torch vertically, horizontally (laterally), and parallel to the monochromator optical axis. The vertical and horizontal movements could be read to ± 0.05 mm on a vernier scale. The parallel torch movement and lens positioning along the optical axis were accurate to \pm 0.5 mm. A He-Ne laser $(\lambda = 632.8 \text{ nm}, C. W. \text{ Radiation Inc.}, \text{ Mountain View, CA})$ was employed for optical alignment and to check the validity of the horizontal and parallel movements of the torch. The lens aperture and the monochromator entrance slit were limited to 3 mm openings to achieve compliance with the criteria necessary for Abel inversion calculations.

Table V. Experimental facilities and operating conditions

Plasma Generation

 $\bar{\mathcal{A}}$

Table V. (Continued)

Spectroscopic Equipment

 $\bar{\beta}$

The power supply to the filament of the RF generator power tube was modified to accommodate time-independent relative intensity measurements. With reference to this modification it is worth noting that many high frequency generators produce a sinusoidal 60 Hz modulation on the forward power high voltage envelope; this was found to be true for the radio-frequency (RP) generator employed in this investigation. Consequently, emission from analyte and Ar I lines observed in the plasma displayed similar 60 Hz modulations; the peak-to-peak magnitudes of these modulations were a sensitive function of the excitation energies. Subsequently, the relative intensities obtained represented time-averages over the integration period employed for the intensity measurements; these intensities yielded erroneous time-averaged excitation temperature values.

Experimental verification of this effect is provided by the oscilloscope tracings shown in Figure 1 for the Pe I 381.584 nm and 382.444 nm lines; these neutral atom lines possessed excitation energies of $38,175$ cm⁻¹ and 26,140 cm⁻¹. respectively. The tracings shown in Figure 1 were obtained by filtering the signal current taken directly from the photomultiplier tube output. Trace C for the higher excitation potential line clearly displays greater intensity sensitivity to forward power modulation than does the lower excitation potential line shown in trace B. Consequently,

Figure 1. Oscilloscope tracings showing emission intensity modulation with a 60 Hz ripple on the forward power to the plasma: (A) dark current, (B) Fe I 382.444 nm, (c) Fe I 381.584 nm

the intensity ratio of the two lines was significantly different in the peak and valley regions of the oscilloscope tracings. The peak and valley relative intensities (above dark current), intensity ratios, and the corresponding twoline temperatures calculated from these ratios with two sets of transition probabilities are summarized in Table VI. Because peak values were clearly \sim 15% higher than the valley temperatures, erroneous time-averaged excitation temperatures were obtained under these conditions. These temperatures were biased by the excitation energy range of the lines employed. The actual time-independent temperatures were obtained when the 60 Hz ripple was eliminated from the generator power tube filament. This was accomplished with the DC power supply which by-passed the generator ac supply, the source of the 60 Hz modulation. With the elimination of the 60 Hz ripple on the RP forward power, a smaller 120 Hz sawtooth ripple of $\sqrt{9}$ peak-to-peak magnitude, remained. The 120 Hz ripple was reduced to $\sqrt{3}$ near maximum power and to **<1%** at 900 W by increasing the generator high voltage filtering network capacitance from 4yF to 12**ijP**. For the latter the reflected power was reduced from ~10 W to ~1 W when the 60 Hz ripple was eliminated.

Computer Facilities

Off-line computer calculations were handled by PLl and FORTRAN IV programs which were processed on the IBM 370/158

a_{Intensity ratio, $I_{382.444}/I_{381.584}$.}

 $\bar{\gamma}$

 T Transition probability data: BH = Banfield and Huber (66) ; R = Reif (30) .

and M**/65** facilities at the Iowa State University Computations Center. Remote processing was accomplished with the facilities located at the Ames Laboratory Computer Garage. The expert assistance provided by the staff of the Ames Laboratory Computer Service Group was invaluable during the writing and debugging of a number of the programs employed in this investigation. The ASR 35 teletype in B28 Spedding Hall was employed to process CPS/PLl Jobs which were mainly used for disk data file management. A Digital Equipment Corporation (DEC) PDF 8/e minicomputer with 8K of core was employed for some preliminary on-line profiling experiments on a different plasma facility than the one used in this study. The characteristics and potential of this DEC PDF 8/e plasma system will be briefly discussed in a later chapter of this thesis.

CHAPTER IV: EXPERIMENTAL PROCEDURES

Intensity Measurements, Lateral Profiling, and Abel Inversions

The analyte thermometric species (Fe I) was nebulized into the plasma $(100, 102, 103)$ as a 150 µg Fe/m ℓ solution. The relative intensities of the Fe I emission lines listed in Table II and those for the three-line set from Table I were measured at increasing lateral displacements (0.4-1.0 mm intervals) from the axial channel of the plasma until the signals could no longer be detected. Three to four data points on the opposite side of center were also collected to assure accurate location of the vertical symmetry axis of the plasma. The latter was taken at the position of the peak of the symmetric bell-type lateral distributions after a smooth curve was drawn through the original data points. Spectral backgrounds at each emission line of interest were measured while deionized water was aspirated into the plasma. The signals and spectral backgrounds were integrated over an 8-second period. The net relative intensities used In the final calculations were the averages of three to five background corrected values. These relative intensities were plotted vs. displacement to construct a lateral profile for each Fe I line of Interest. Relative Intensity measurements were taken for all lines at a given displacement before proceeding to the next lateral observation zone.

Complete lateral profiles from one edge of the plasma through the geometric center to the opposite edge were obtained in a similar fashion for the neutral atom and first ion lines listed in Table IV. The center of the bell-type profiles so obtained was taken at the peak of the distribution, Complete lateral profiles with water nebulized into the plasma at an aerosol carrier gas flow of 1.0 ℓ /min were also obtained for the Ar I spectral lines listed in Table III. The spectral backgrounds for these Ar I lines were obtained from the continuum emission adjacent to the lines. The center of the toroidally-shaped lateral distributions obtained for these Ar I lines was taken as the midpoint between the off-axis peaks.

The Cremers and Birkebak Abel Inversion method described previously was used to obtain spatially resolved radial intensity distributions from the corresponding lateral profiles. The right and left portions of the complete lateral intensity profiles discussed above were inverted separately for comparative purposes.

Temperature Calculations

The slope method described previously (Equation 3) was used to calculate radial excitation temperature distributions from the corresponding radial intensity profiles. The temperature profiles so obtained for the different thermometric

species, Fe I and Ar I, and for different Fe I line sets and transition probabilities are compared later in Chapter V of this thesis.

Electron Number Density from Saha-Eggert's Ionization Calculations

Equation 5 was used to calculate radial n_e values from the corresponding radial intensities for the atom/ion line combinations and average $(g^{O}A^{O}\lambda^+/g^+A^+\lambda^O)$ ratios given in Table IV. Radial number density ratios $(n_{\chi+}(R)/n_{\chi0}(R))$ were obtained from Equation 6 for the atom/ion line combinations listed in this table. A listing of the source statements of the computer program employed in these calculations is given in Appendix C.

Electron Number Density from Stark Broadening Calculations

H_{β} line

Wavelength scans over the $_{\beta}$ line profile were obtained at successive lateral displacements across the plasma discharge. First, each scan was divided into $\sqrt{25}$ constituent wavelengths spanning the entire interval of the H_R line profile. Second, lateral profiles were constructed for each constituent wavelength and the spectral background was interpolated from the continuum emission beyond the H_R line

wings. Third, radial intensity profiles were obtained from the corresponding lateral profiles for each constituent wavelength by employing the Abel inversion method described previously. Finally, the H_g profile at each radial position was reconstructed from the radial Intensity data; the radial half-widths, $\Delta \lambda_{\mu}^O(R)$, were measured from these H_g profiles.

A FORTRAN IV computer program was written to perform the n_e calculations. The details of this program are discussed and a listing of the source statements is given in Appendix D. The computer calculations were performed as follows. First, a matrix of α' _k <u>vs</u>. log₁₀ T values was constructed from the instrument and Doppler corrected reduced Stark halfwidth data (98) for n_{e} from 10^{12} to 10^{16} cm⁻³ in half-order steps, and for temperatures of 2,500; 5,000; 10,000; 20,000; and 40,000 K. The values obtained are shown in Figure 2. Second, the value of $\Delta \lambda^{O}_{\mu\nu}(R)$ obtained as described above was inserted into Equation 8 to evaluate the $\begin{bmatrix} \Delta \lambda \end{bmatrix}_{\mathbf{z}}^{\mathbf{y}}(R)$]³⁷² term. Third, $\lceil \alpha'_{k} \rceil$, $n_e(R)$] pairs were calculated by appropriate interpolation methods (98) for the Fe I excitation temperature, T(R), at radius R. Fourth, an approximate $\lceil \alpha^{\prime} \rceil$ ₁, was selected and inserted into Equation 8 to calculate a zero-order approximation to the electron density, $[n_{\rho}(R)]_{0}$. Fifth, a first-order $\left[\alpha'\right]_1$ value was interpolated from the α' _k <u>vs</u>. n₂(R) relationship and from the value of $[n_e(R)]$ ₀. This value for $\left[\alpha^{\prime}\right]_{1}$ was used in Equation 8 to give a

Figure 2. Reduced Stark profile half-widths, α'_{k} , corrected for Doppler and instrument broadening plotted vs. log₁₀ T for electron densities from 10^{12} to 10^{16} cm⁻³ for the H_{β} 486.13 nm line

first-order electron density, $[n_{\rho}(R)]^T$. Finally, this procedure was repeated iteratively until a self-consistent pair of $\lceil \alpha^2 \rceil$, $n_e(R)$] values was obtained to the desired accuracy.

Ar I lines

Wavelength scans over the lateral (effective) Ar I line profiles were obtained at the central axis of the plasma discharge. The Ar I lines were assumed to have Voigt profiles so that the tabulated half-width ratios (93); i.e., $\Delta \lambda^{\rm S}_{\rm k}/\Delta \lambda^{\rm G}_{\rm k}$ and $\Delta \lambda$ $_{\text{L}}^{\text{S}}/\Delta \lambda$, could be used to calculate the expected experimental half-width, $\Delta\lambda_{\mathbf{k}}$, at the appropriate n_e and temperature $\bar{\rm s}$ combinations. The $\Delta \lambda^2_L/\Delta \lambda_L$ ratios were plotted as a function of the $\Delta \lambda \frac{S}{k} / \Delta \lambda \frac{G}{k}$ ratios for the values given in reference 93. Equation 9 was used to calculate the $\Delta \lambda ^{\rm S} _{\rm L}$ values and the $\Delta\lambda^S_{k}/\Delta\lambda^G_{k}$ ratio was obtained from the Stark half-width and the known value for $\Delta \lambda^G_{ \bm{\lambda} \bm{\cdot}}$ The corresponding $\Delta \lambda^S_{ \bm{\lambda} \bm{\cdot}} / \Delta \lambda_{\bm{\lambda} \bm{\cdot}}$ ratio was interpolated from the plot and the $\Delta\lambda_{\mathbf{k}_{j}}$ value was calculated from this ratio. This procedure was used to obtain $\Delta\lambda_{\mathbf{k}}$ values for each Ar I line at each $n_{\rm e}$ and temperature combination considered. The semi-log plots of $\Delta\lambda_{\mathbf{k}}$ vs. log₁₀ T which were constructed for each line in half-order steps for n_a from $10^{13.5}$ to 10^{16} cm⁻³ are shown in Figure 3. The n_a corresponding to an experimentally measured Ar I line half-width was then obtained by linear interpolation between

Figure 3. Doppler and instrument profile corrected Stark half-widths for Ar I lines, $\Delta\lambda_{\text{L}}$ vs. log₁₀ T for electron density from $10^{13.5}$ to 10^{16} cm⁻³

the values plotted in this figure. Effective temperatures were estimated for observation heights at which no measurements were obtained, i.e., below 15 mm.

CHAPTER V: RESULTS AND DISCUSSION

Symmetry

The bell-type lateral Intensity distributions which were obtained for Pe I thermometric emission lines complied well with the circular symmetry requirement discussed in Chapter II. The bell-type profiles of the emission lines given in Table IV for Saha-Eggert's n_{α} calculations were also in compliance with this symmetry criterion. In contrast, the toroidally shaped Ar I lateral intensity distributions for the thermometric lines given in Table III showed deviations from symmetry primarily in the off-axis regions. The lateral intensity distributions for the wavelength constituents of the H_g line profile displayed similar toroidal shapes and similar deviations from circular symmetry. The different lateral distributions are discussed in the following sections.

Intensity Distributions of

Analyte Lines

Figure 4 shows typical lateral intensity profiles for the Zn, Fe, and Ca atomic and ionic emission lines listed in Table IV. It is seen that bell-type intensity distributions were obtained for the wide range of excitation and ionization energies represented by the spectral lines of these species. Similar profiles were obtained for the Mg and Cd atomic and

Figure 4. Lateral profiles for Saha species at 15 mm, 1000 W and 1.0 **H/mln** aerosol carrier gas flow

 \mathcal{A}

without with Na 6900 ug Na/m& $10 \mu g$ $2n/m$: $2n$ II 206.19 nm $(-Q -)$ $(-Q -)$ $\frac{2n \pm 200.19 \text{ nm}}{2n \pm 213.88 \text{ nm}}$ (-X-) (-+-) 150 µg Fe/ml: Fe II 258.588 nm (--0-) (--0-) Fe I 252.285 nm (— X—) (— 4" —) 10 µg Ca/m£: Ca II 396.847 nm (--0-) (--0-) Ca I $\frac{1}{2}$ 422.673 nm (-X-) (-+-)

Ionic lines from Table IV. The corresponding Abel inverted radial intensity profiles showed similar bell-type behavior.

The addition of a large excess of an EIE should, under equilibrium conditions, suppress ionization of the analyte species. The trends of the Ca profiles in Figure 4 tend to support this interpretation, i.e., the atomic line is slightly enhanced while the ionic line is relatively more depressed. However, the axial depressions in the atomic line profiles of Pe and Zn suggest ionization suppression is not the dominating process. This suggestion is supported by the data in Table VII which lists ion/atom lateral or "averaged" intensity ratios at the plasma central axis for the line profiles shown in Figure 4 and for the Mg and Cd lines listed in Table IV. Again, the existence of some analyte ionization suppression is indicated by the decrease in these ratios upon the addition of Na to the plasma but, in comparison to flames, the suppression is surprisingly small (104). These unusually small interference effects were first reported by Larson et al. (103) and confirmed later by Mermet and associates (105) and by Boumans and de Boer (Ic).

Further evidence that ionization suppression plays only a minor role at least under some combinations of experimental conditions is found in the radial relative intensity profiles shown in Figure 5 for the Fe I 382.043 nm emission line. These profiles clearly show that Fe I radial intensity

^aRelative intensity ratio, ion line intensity/atom line Intensity.

 $^{\text{b}}$ Ion line, Mg II 279.553 nm.

 c Ion line, Mg II 280. 270 nm.

 \mathbb{R}^2

Figure 5. Radial intensity distributions for the Pe I 382.043 nm line at lOOOW for three observation heights and two aerosol carrier gas flows;
150 µg Fe/m£ (— O —) and 150 µg Fe/m£ + **6900 jig Na/m& (—A—)**

 $\sim 10^7$

distributions are essentially unchanged upon addition of Na as an EIE at the lower aerosol carrier gas flow but, are enhanced about two-fold at the higher flow.

These observations imply that greater interferences due to the presence of an EIE would be expected to occur at higher aerosol carrier gas flows when lateral intensities are measured under analytical experimental conditions. Indeed, Larson et al. (103) and Boumans and de Boer ($1c$) have established that this is so. It is important to note that the combination of argon carrier gas flow of $\sqrt{1.0}$ $\sqrt{1.0}$ and an observation height of $\sqrt{15-20}$ mm corresponds to the values of these parameters that lead to excellent powers of detection and a low degree of ionization and other interelement interactions (lb,lc,103).

Intensity Distributions of

Ar Lines

The typical toroidal lateral and radial relative intensity distributions for the Ar I 425.936 nm line reproduced in Figure 6 clearly show that both the lateral and radial profiles are asymmetric, as evidenced by the larger left side peak in the lateral profile and by the disagreement between right and left side intensities in the central region of the radial profile. These observations are typical for Ar I profiles, even though elaborate precautions were taken in order to assure symmetry in the construction of the plasma

Figure 6. Toroidal lateral and radial relative intensity distributions for the Ar I 425.936 nm line at 15 nim, lOOOW and 1.0 £/min aerosol carrier gas flow

 $\ddot{}$

torch. Evidently very critical adjustments beyond present fabrication technology are required for precise control of Ar flow patterns. Asymmetry in the magnetic and electric fields induced by the load coil and in the interaction of these fields with the Ar plasma support gas may also contribute to asymmetry in the Ar I lateral profiles. The toroidal lateral and radial relative Intensity distributions which were obtained for the constituents of the H_g line profile displayed similar asymmetric characteristics. Other investigators have also reported similar problems with asymmetric toroidal lateral Intensity distributions when Abel inversion methods have been applied to these profiles $(2a, 15, 16, 45)$. These results suggest that further refinements in torch and coil design should be explored ultimately; these studies were not considered important enough to justify their inclusion in the present context.

Temperature Profiles

The excitation temperatures obtained from Equation 3 for analyte (Fe I) and support gas (Ar I) thermometric lines are plotted in Figure 7. It is seen that the excitation temperatures calculated from different sets of transition probabillities **(30,66567)** for the Fe I three-line set (From Table I) and for the expanded ten-line set (given in Table II) agree to within '\,3% in the axial channel region and within ± **10%**

Figure 7. Radial excitation temperatures at 15 mm, 1000W and 1.0 &/min aerosol carrier gas flow.
Ar I eight-line with Corliss and Shumaker (77) Ar I eight-line with Corliss and Shumaker (77)
transition probability data (--0--); Fe I ten-line with Huber and Parkinson (67) data $(-x^2)$ and with Relf (30) and Banfield and Huber (66) data $(-0-)$; Fe I three-line with Relf data (—A—) and with Banfleld and HuDer Reif data (— **4.**
data (— **[**]—)

In the wings. The temperature data obtained from the Ar I eight-line set (listed in Table IV) are not that encouraging, even though the temperatures obtained with the transition probability data given in references 75-77, and 80 agreed to within \pm 3%. The uncertainty in the Ar I data as represented by the scatter of the points in the radial temperature profile, and the disagreement with the Pe I temperatures, are reconcilable. First, the asymmetric character of the toroidal Ar I lateral intensity distributions (see Figure 6) introduces large uncertainties into Abel inversion calculations, especially for the axial region (2a,15,16,18,45). Second, errors in relative intensity measurements are amplified considerably when the selected lines possess a limited range of excitation energies, as shown by the data plotted in Figure 8. These plots, which were **calculated by** methods discussed elsewhere (2a,81,106), clearly show that the Ar I temperature calculations are subject to an approximate five-fold larger uncertainty than the Pe I values for the same AI/I measurement error.

In view of the good agreement between the Pe I temperatures and the large uncertainties associated with the Ar I temperatures henceforth, only the temperatures calculated for the Fe I three-line set from Table I will be considered. These temperatures, which were obtained at two aerosol carrier gas flows and with and without the presence of relatively high concentrations of an EIE, are shown in Figure 9.

Figure 8. Percent uncertainty in temperature as a function of percent uncertainty of intensity for typical Fe I and Ar I lines employed in temperature calculations

Figure 9. Radial Pe I excitation temperatures at lOOOW for three observation heights and two aerosol carrier gas flows; 150 μ g
Fe/m ℓ (-- Q --), 150 μ g Fe/m ℓ + 6900 μ g Na/m $(\text{---} \blacktriangleright)$

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Examination of this figure reveals several Important features. First, the excitation temperature distributions obtained at the respective aerosol carrier gas flows and observation heights are not significantly changed upon addition of Na as an EIE. Second, the temperatures for the higher flow are significantly lower (400 to l400 K) than the corresponding temperatures for the lower flow. Third, for the higher carrier-gas flow, the central zone temperatures at 15 mm are relatively low and the off-axis peak is much more pronounced than for the lower flow. Finally, central zone temperatures at the lower flow are essentially unchanged from 15 to 20 mm but decrease by about 10% at 25 mm. These results correlate well with the empirical observations of others at this laboratory (103,107) namely, that the "compromise" experimental conditions which yield excellent powers of detection and also yield a high degree of freedom from interelement effects are $\sqrt{1.0}$ &/min aerosol carrier gas flow and $\sqrt{15-20}$ mm observation height. Figure 9 clearly shows that there is essentially no decrease in temperature from 15 to 20 mm yet additional residence time is gained for desolvation, atomization, and excitation of the analyte. These profiles also reveal the drastic temperature drop at the higher flow which is undesirable from excited state population and residence time considerations. The substantial temperature drop between 20 and 25 mm for the lower flow is also undesirable from the excited state population standpoint for most species.

Boumans and de Boer (le) have reported different "compromise" operating conditions which were based on their observations of interelement effects and detection limits obtained for their plasma system. These authors suggested experimental conditions of 1.3 to 1.5 &/min carrier gas flow and 15 mm observation height at 700 W power input and, 1.5 to 1.7 &/min carrier gas flow at 20 mm height for a power input of 850 W. It is worth noting that the relative intensity data in Figure 5 and the temperature data in Figure 9 combined with the observations of Larson et al. (103) suggest that an aerosol carrier gas flow of 1.3 ℓ /min is undesirable for plasma operating conditions at this laboratory because greater Interelement effects are observed at this flow.

Electron Number Density Profiles

Because the temperature profiles shown in Figure 9 exhibited definite off-axis or toroidal peaks, it was of particular interest to determine whether the n_{ρ} profiles at this observation height reflected these temperature distributions. The profiles shown in Figure 10 indeed show similar off-axis peaks, but they reveal several other features worthy of comment. First, the H_R Stark broadening n_ρ profiles are a factor of 30- to 50-fold greater than the Saha-Eggert's ionization profiles. Second, the n_a profiles were not significantly changed upon addition of Na as an EIE. Finally,

Figure 10. Radial electron density distributions at lOOOW, 15 mm and 1.0 £/mln aerosol carrier gas flow for H_8 Stark broadening ($-X$ —) and Saha calculations; 10 µg Ca/m2
 $\overline{(-Q^-)}$, 150 µg Fe/m2 $\overline{(-Q^-)}$, 10 µg Mg/m2 (-A-), 10 µg Cd/m2 (-+-), mg/mx ($-\Delta$ --), 10 µg (
10 µg Zn/ml (-- \bullet --)

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for the central axial region the Saha n_{ρ} profiles agree to within a factor of three for the five different analyte species. Considering first the disagreement among the Saha n_o values, the range of values obtained is not particularly surprising in view of the magnitude of potential errors involved. This range may reflect inherent errors in the published transition probability data for the lines employed or varying degrees of n_a contribution by the analytes because of their different degrees of ionization in the plasma. These uncertainties plus those accumulated in the lateral intensity measurements and Abel inversion calculations may account for up to a factor of two error in the Saha-Eggert's n_o values. A 10% uncertainty is generally associated with theoretical Stark data for the H_g line (36,93-96,98,99). Accumulated uncertainties from convolution calculations, instrument profile measurements, and Abel inversions account for $\sqrt{30\%}$ error in the radial H_g half-width determinations. These considerations suggest that $\sqrt{40\%}$ error may be associated with the n_{ρ} values determined by H_g Stark broadening calculations. Although the errors in both sets of measurements are substantial, they are clearly inadequate in accounting for the factor of 30- to 50-fold difference between the $n_{\rm g}$ values determined by the Saha and Stark methods. These large differences suggest that LTE may not prevail for the plasma operating conditions employed in this work, a subject that is discussed later in this chapter.

It is worth noting that the Stark broadening n_{ρ} values shown in Figure 10 are about a factor of five-fold smaller than the similar measurements reported by Mermet (17a) and are about two-fold smaller than the continuum calculations reported by Kornblum and de Galan (l6). However, Kornblum and de Galan reported Saha n_{ρ} values obtained with Mg atom/ion lines that were two to three orders of magnitude greater than those obtained here and those reported by Mermet (17a). The effective Saha n_o values reported by Mermet were essentially identical to the similar values obtained here and reported later in this chapter.

Because the Saha n_a values obtained from the Mg atom/ion line pairs (Table IV) represented a value near the average obtained for all the species plotted in Figure 10, Mg was selected for additional n_e measurements at different observation heights. These line combinations possessed several other desirable advantages; namely, (a) four sets of transition probability data which were in good agreement, were available for both Mg atom/ion line combinations, (b) the lines were free from spectral interference, (c) the excitation energies of the atomic and ionic lines were well matched, and (d) the lines were in close wavelength proximity so that the instrument response with wavelength could be assumed constant. The results of n_{ρ} measurements on the Mg atom/ion line combinations are shown in Figure 11. Surprisingly, the n_e

Figure 11. Radial Saha-Eggert's electron density distributions at 15, 20, and 25 mm for Mg atom/ion
line combinations; 10 µg Mg/ml (--O--, --O--, —A—), 10 yg Mg/m& + 6900 yg Na/m£ (—9—, — △ — _', 1∪ µg mg,
— ■ — , — ▲ —)

profiles at 15 and 20 mm observation heights show little change upon the addition of an EIE to the plasma. The primary change in the 25 mm height profile Is in the wing region, where there is an enhancement significantly greater than the experimental error. It is also evident that the toroidal n_o distribution at 15 mm disappears at 20 and 25 mm, being replaced at the latter heights by bell-type profiles which are relatively uniform for the central 4 mm of the plasma. The change in n_{ρ} in this central axial zone upon the addition of Na is insignificant. The surprisingly small changes in n_{ρ} and temperature profiles at 15 and 20 mm upon the addition of Na as an EIE suggest that changes in the total composition of the sample do not affect the radial excitation temperature nor degree of ionization of analyte species in a dominant manner. The significant increase in $n_{\rm e}$ in the wings of the ?5 mm profile upon addition of Na as an EIE suggests that ionization suppression may play a role. if a significant fraction of the analyte diffuses into this region. These results are in harmony with empirical observations reported by Larson et al. (103) and by Boumans and de Boer (lc) which indicated low levels of interelement effects at low observation heights (15 to 20 mm) and increased effects higher in the plasma.

The radial n_{Mg} +/ n_{Mg} o profiles obtained from Equation 6 for the Mg atomic and ionic lines listed in Table IV are given in Figure 12. With consideration of potential errors, the

Figure 12. Radial number density ratios, $n_{Mg}t/n_{Mg}$ o, at lOOOW and 1.0 &/mln aerosol carrier gas flow for Mg lines employed in electron density calculations; 10 µg Mg/ml at 15 mm
(--O--), 20 mm (--O--), and 25 mm (-- Δ --); 10 μ g Mg/m2 + 6900 μ g Na/m2 at 15 mm
(-- \bigcirc --), 20 mm (--X--), and 25 mm (--4-)

ratios are essentially unchanged upon addition of Na as an EIE. These profiles also clearly show that Mg is more than 90% ionized in the central axial zone of the plasma at all observation heights. The radial $n_{\chi^{+}}/n_{\chi^{O}}$ ratios which were obtained for the other species given in Table IV are listed in Table VIII. The decrease in these ratios upon addition of Na to the plasma indicates the existence of some analyte ionization suppression but the degree of this suppression is much smaller than that commonly observed in flames (104). The data in this table also clearly show the high degree of ionization of analyte species in the central axial region of the plasma. In particular, Ca is more than 99% ionized and Zn more than 50% ionized even when a high concentration of an EIE is present in the plasma. These results correlate well with recent empirical observations at this laboratory which have been made on a direct-reading polychromator plasma system that has been in daily use for three years. The experience with this Instrument (107) has indicated that superior powers of detection may be obtained with the ionic lines of many analytes particularly those elements with low ionization energy. Indeed, the fact that many of the most sensitive lines of these elements originated from singly ionized species was recorded by Dickinson and Fassel **(IO8)** in 1969 and later by Souilliart and Robin (109). In view of these observations it is curious that Boumans and de Boer (Ic)

	Without Na					With 6900 µg Na/ml			
Radius (mm)	Zn	Cd	Fe	ca	Zn	Cd	$_{\rm Fe}$	Ca	
0.0	2.1	6.2	18	610	1.5	4.4	15	300	
0.5	2.1	6.2	18	590	1.5	4.4	15	300	
1.0	2.1	5.9	17	540	1.5	4.5	15	310	
1.5	2.0	5.2	16	440	1.5	4.6	15	280	
2.0	1.9	5.0	16	430	1.5	4.6	16	320	
2.5	1.9	4.8	16	410	1.5	4.6	15	340	
3.0	1.8	4.6	15	310	1.5	4.6	15	320	
3.5	1.8	4.2	15	380	1.4	4.6	13	310	
4.0	1.8	3.5	14	390	1.5	4.6	12	260	
4.5	1.9	3.0	14	190	1.4	4.9	11	230	
5.0	1.9	2.7	13	190	1.4	4.9	8.6	240	
5.5	1.9	3.8	10	170	1.0	4.3	7.1	170	
6.0	2.0	2.9	8.8	160	0.3	4.2	4.9	100	
6.5					0.8	4.3	4.5	100	

Table VIII. Radial ion to atom number density ratios, $n_\chi+({\rm R})/n_{\chi \rm O}({\rm R})$, for Zn, Cd, Fe and Ca with and without added Na at 1000W, 1.0 ℓ /min, and 15 mm height

were surprised to rediscover this fact; namely, that the Ion lines of the alkaline earth elements yielded far better detection limits than the neutral atom lines for their "compromise" plasma operating conditions.

Additional documentation that n_e do not change upon addition of an EIE is found in the effective (noninverted) half-widths of the H_g and Ar I line profiles shown in Table IX. This observation was confirmed by the effective $n_{\rm g}$ values obtained from these Stark broadening measurements and those obtained from Saha-Eggert's calculations for Mg lines which are plotted in Figure 13. The Stark broadening n_{ρ} values for the H_{β} and the two Ar I lines are essentially identical at the various observation heights and, in harmony with the Saha n_o values, did not change significantly when the EIE was added to the plasma. In agreement with the radial measurements plotted in Figure 10, the effective Saha values are 30- to 50-fold smaller than the Stark broadening n_{ρ} values.

Analyte Excitation

The large differences between n_{ρ} values calculated from Stark broadening methods and those obtained from Saha-Eggert's ionization considerations (Figures 10 and 13) may be interpreted to support the earlier stated conclusion that LTE does not exist for the plasma operating conditions employed in this investigation. From observations quite similar to

Observation Height (mm)	Ar I 542.135 nm With Na ^b Without Na		Ar I 549.588 nm Without Na	With Na	Hg 486.13 nm Without Na With Na		
2	2.36	2.49	1.79	1.88	5.47	5.25	
5	1.88	2.01	1.40	1.40	4.49	4.16	
10	1.05	1.04	0.808	0.851	2.63	2.74	
15	0.674	0.656	0.565	0.568	2.08	2.08	
20	0.522	0.487	0.434	0.435	1.53	1.59	
25	0.432	0.443	0.346	0.348	1.15	1.15	
				$\mathcal{A}^{\mathcal{A}}$ and $\mathcal{A}^{\mathcal{A}}$			

Table IX. Effective half-widths of H_g and Ar I lines measured at the plasma axis for lOOOW forward power and 1.0 *Z/mln* aerosol carrier gas flow

^aHalf-widths expressed in units of Angstroms (\hat{A}) , 10 $\hat{A} = 1$ nm.

 b 6900 µg Na/m ℓ added to the plasma.

Figure 13. Effective electron density at 1000W and 1.0 &/mln aerosol carrier gas flow for several observation heights. Stark broadening with deionized water nebulized; H_6 486.13 nm (--- O ---), Ar I 542.14 nm $\frac{18}{(- 0 -)}$, Ar I 549.59 nm $(-\Delta -)$. Stark broadening with 6900 µg Na/m^e nebulized;
H₆ 486.13 nm (----), Ar I 542.14 nm $(\frac{P}{P} * -)$, Ar I 549.59 nm $(- + -)$. Saha-Eggert's ionization; 10 ug Mg/ml (—O—), 10 yg Mg/m£ + **69OO** yg Na/m& $\overline{(-\diamondsuit -)}$, .

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those reported in this dissertation, Jaroz et al. (2b) and Mermet (17) have in fact concluded that LTE does not exist for their plasma operating conditions. Mermet (17b) has suggested that Ar I metastables are involved in analyte excitation through Penning ionization reactions, and that Ar II levels may also be involved through a similar process. Robin and Trassy (110) observed stimulated emission for resonance lines of A1 and Ti below a critical concentration while above this concentration atomic absorption prevailed. These authors suggested that the observation of this phenomenon indicated the absence of LTE in their 40 MHz discharge. The calculations of Hey (111) and Cillars et al. (112) on the n_a criteria necessary to ensure LTE suggest that low lying metastable levels play a role in population of excited states for the species considered. Indeed, energy transfer mechanisms involving rare gas metastables are well known and are observed in many classes of low pressure discharges (113-115). However, experimental observations at atmospheric pressure are not readily obtained because the metastable level lifetimes are much shorter due to collisional deactivation. It should be noted that Hey's calculations are for homogeneous nonhydrogenic plasmas and hence, may not apply to plasmas used for spectrochemical purposes which generally possess relatively large spatial gradients in temperature and analyte number densities.

The n_o differences may alternately be interpreted to indicate the presence of significant electric and/or magnetic fields induced in the plasma region by the load coil that are not accounted for by particle field considerations in the Stark broadening theory. An approximate calculation of Stark splitting of the H_g line in a static electric field (96,116, 117) reveals that ~20,000 volts/cm would account for the increased broadening. Magnetic fields of $\sqrt{10,000}$ gauss would be required to produce splitting equivalent to that produced by the particle field (96). Calculations for typical atmospheric pressure argon induction discharges assumed to be in LTE (6,118) indicate that axial magnetic fields and azlmuthal electric fields should be on the order of a few hundred gauss and a few hundred volt/cm, respectively. For a pure induction discharge in LTE the axial electric field is zero (118). Field strength calculations for nonequllibrium plasmas are generally not available because the theory is not well understood. Although the nonpartlcle field strengths calculated by the models mentioned above are very much smaller than necessary to produce significant line splittings, the unknown nature of possible nonequllibrium fields precludes a definitive interpretation of their effects on line broadening in the present context.

The present inability to interpret n_{ρ} differences in a more definitive manner should not detract from the fact that the results of this dissertation research correlate very well

with empirical observations (lc,103) which indicate low levels of interelement effects at low observation heights and increased effects higher in the plasma. The surprisingly low sensitivity of n_{ρ} and temperature distributions at 15 and 20 mm to the addition of an EIE (see Figures 9 and 11) suggests that changes in the total composition of the sample should not affect radial excitation temperatures nor degree of ionization of analytes in a dominant manner. The significant increase in n_e in the wings of the 25 mm profile when Na is added as an EIE suggests that Ionization suppression may play a role if a significant fraction of the analyte diffuses into these regions. Indeed, some evidence of this type of diffusion is provided by the Ca I 422.7 nm line profile data reported by Larson et al. (103), which showed a peculiar off-axis "hump" when Na was added to the plasma for an observation height of 20 mm. These results provide additional evidence that careful consideration of the region sampled by the viewing field of the spectrometer is an important factor when plasma performance is analyzed (18). This is especially true when the enlarged acceptance cone of the wide aperture optical systems commonly employed for the analytical applications of these plasmas samples a significant portion of the off-axis regions.

CHAPTER VI: SUGGESTIONS FOR FUTURE WORK

Although this Investigation has aided In the understanding of several important aspects of analyte excitation in ICP's employed for spectrochemlcal analysis, a number of avenues of research remain open.

Certainly, the validity of the LTE assumption for different ICP operating conditions should be ascertained because the absolute interpretations of the results of many diagnostic methods (e.g., excitation temperature and Saha n_a measurements) are critically dependent upon LTE conditions prevailing in the plasma. The role of support gas and sample metastable levels should be elucidated because this may provide useful information about analyte excitation mechanisms and may help resolve the LTE question.

Studies concerning the effects on lateral intensity profiles and, subsequently Abel inversion calculations from asymmetries in the plasma torch, in the induced magnetic and electric fields, and in the gas flow patterns should be pursued. Improvements in the Abel inversion procedure should help to avert some of the problems encountered when toroidal lateral intensity distributions are inverted.

Investigations on the applications of other diagnostic techniques such as laser techniques (119-121) and interferometrlc (122,123) methods should be initiated because these techniques could provide powerful alternative approaches for

probing spatial particle density and temperature distributions In the plasma. The work proceeding In this laboratory on coupling a mass analyzer to an ICP (124) may also provide a valuable diagnostic tool.

Work on the spectroscopic probing of the spatially resolved radial excitation temperatures and n_a distributions experienced by analytes should continue because even though the results of these studies may lack absolute interpretation from lack of LTE in the plasma, valuable information will still be obtained on relative excitation trends $(e.g.,$ increased interelement effects may be partially or completely explained by a drastic change in the n_{ρ} distribution at 1.3 &/min aerosol carrier gas flow when an EIE is added to the plasma). Studies of the effects on excitation temperature and n_a distributions when ultrasonic nebulization of the sample solution is employed, with and without desolvation, may provide some Insight into the reasons why better than order of magnitude improvements in ICP detection limits have been noted when this method was compared to pneumatic nebulization (lc,125).

Near the end of this dissertation research, work was begun on adaptation of a modular computer-controlled plasma facility (126) to perform automated lateral Intensity profiling experiments. Progress in this area and suggestions for further modifications were summarized in several recent

research reports (127). An assembly language program to perform these experiments was written for the DEC PDF 8/e minicomputer on this system (127). This program was designed for the existing facilities but may easily be modified as the equipment is updated. The work on this system should be continued to facilitate profiling experiments by the efficient utilization of the minicomputer capability for on-line control of these experiments.

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APPENDIX A:

ABEL INVERSION AND TEMPERATURE PROGRAM

The Abel inversion and radial temperature calculations were performed in a single FORTRAN IV computer program. A complete listing of the source statements of the most recent version of this program Is included as C337TEM2. [A Cal-Comp Digital Incremental Plotter could be (optionally) employed off-line to plot the radial intensity and temperature data obtained from this program.] In conjunction with the plotting subroutines (RADPL, TEMP, and SLOPET) in this program, two ISU library programs, GRAPH and GRAPHS, were utilized. These routines were part of the SIMPLOTTER (128) library, which was available for graph production. For an installation without SIMPLOTTER, the RADPL subroutine and the CALL RADPL statement In the main program should be removed. The CALL GRAPH and CALL GRAPHS statements in subroutines TEMP and SLOPET should also be removed. In this manner the program size will be reduced and the plotting capability will be lost. The data card input variable requirements are outlined in Table A-1 and these variables are defined in the beginning of the program listing.

An earlier version of this program (C337TEM1) was also employed for some Inversions of the lateral relative intensity profiles obtained for Pe I lines. This earlier program Incorporated a different polynomial fitting method In the Abel

inversion subroutine (CBABEL) than the one used in the C337TEM2 subroutine, DKABEL (see Appendix B). An F-test for goodness to fit (8l) was incorporated into the latter program; this test sometimes yielded fits of artificially high degree to the bell-type Pe I relative intensity data. Subsequently, erroneous radial temperature profiles were calculated from the resulting intensities, particularly for the observation height of 15 mm. These profiles were recognized by: (1) very large calculation uncertainties for the radial intensities and temperatures obtained (cf.. Equation **B36,** Appendix B), and (2) the peculiar shaped radial intensity and temperature profiles that resulted from these inversions especially at 15 mm. For the latter, unrealistically steep off-axis peaks were sometimes obtained in the toroidal temperature profiles typical for this observation height. These situations were corrected by: (1) employing DKABEL in C337TEM2 but, restricting the fits to be a maximum of 2-nd degree, or (2) employing CBABEL in C337TEM1, which incorporated fixed 2-nd degree fits to all profile zones (cf.. Figure B-3, Appendix B) but was more restrictive with respect to data input. For the latter, the lateral relative Intensity profiles were required to consist of equally spaced data points in multiples of $5n+1$ where, $n\geq 2$. It was sometimes difficult to obtain lateral relative intensity data that complied with these criteria. However, in most cases employing the
restricted 2-nd degree (maximum) fits with C337TEM2 remedied the problem, precluding the necessity of resorting to the C337TEM1 method.

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Type #	# Cards	Columns	Variable Name	Format	Remarks	
$\mathbf 1$	$\mathbf{1}$	$1 - 5$	NSETS	I5	Number of profile data sets	
$\mathbf{2}$	1 /set	$1-6c$ $61-8c$	TITLE DLAB	15A4 5A4	Experiment description label Plot description label	
$\overline{3}$	$1/\text{set}$	$1 - 5$ $6 - 10$	LKODE IARTP	I5 I5	Indicates Fe/Ar or other lines used Selects Ar transition probability data set	
		$11 - 30$	ELNAME	5A4	Name of element other than Fe or Ar (punch LKODE = 99, this is redefined to be LKODE = 3 in subroutine PNTORG)	
4	$1/\text{set}$	$1 - 5$ $6 - 10$	NLZ IWT	I5 I5	Number of lines (4 max) End point weighting selection (used with subroutine SPLINE)	
5	1 /set	$1 - 28$ $31 - 70$	WAVE DELA	4F7.2 4F10.0	Line wavelength array (Angstrom units) Transition probability uncertainty array	
6	1 /set	$1 - 5$ $6 - 10$ $11 - 15$	IJ 52 13	I5 I5 15	Intensity plot option switch Spline fit option switch Two-line temperature calculation option (Fe lines only)	
		$21 - 25$ $26 - 30$ $31 - 35$ $36 - 40$ 41-45 $46 - 50$	L5 NL NH KPLOT1 KPLOT2 KPLOT3	I5 I ₅ I ₅ I5 I ₅ 15	Slope temperature calculation option Pointer to starting F-test value Pointed to ending F-test value Option to plot Fe two-line T's Option to plot average Fe two-line T's Option to plot slope T's	

Table A-1. Data card requirements for C337TEM2

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Table A-1. (Continued)

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Table A-1. (Continued)

NOTE: Types 9a-l and 9b-l are mutually exclusive; 9a~l is used only with subroutine SPLINE (L2 = 0) and 9b-l only with subroutine XYCALC $(L2 = 1)$

Type #	# Cards	Columns	Variable Name	Format		Remarks
10a	1 /set	$1 - 40$	GА	4F10.0	OPTIONAL:	array for Ar I lines E_qA_{qp} listed in comment card section, used when LKODE = 2 and $IARTP > 6$
$10b-1$	1/set	$1 - 40$	EQ	4F10.0	OPTIONAL:	Excitation energy of ELNAME lines employed, used when $LKODE = 3$
$10b-2$	$1/\text{set}$	$1 - 40$	GA	4F10.0	OPTIONAL:	array for ELNAME E_q ^A qp lines, used when $LKODE = 3$

Table A-1. (Continued)

 $\label{eq:2.1} \frac{1}{\sqrt{2}}\int_{\mathbb{R}^3}\frac{1}{\sqrt{2}}\left(\frac{1}{\sqrt{2}}\right)^2\left(\frac{1}{\sqrt{2}}\right)^2\left(\frac{1}{\sqrt{2}}\right)^2\left(\frac{1}{\sqrt{2}}\right)^2\left(\frac{1}{\sqrt{2}}\right)^2.$

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c c c c ********* C337TEM2 ********** ***** DEFINITION OF IMPORTANT VARIABLES AND INDEXES USED *** TITLE = DESCRIPTION OF EXPERIMENT DLAB = MONITORED REGION OF EMISSION SOURCE (FOR PLOT ID) *+ CONTROL SWITCHES ** F - TEST FOR 0.5 TO 50O0 PERCENT PROBABILITY OF EXCEEDING THE LI =0. NO LATERAL** OR **RADIAL INTENSITY PLOTS LI =1. SUPERIMPOSED PLOTS OF LATERAL AND RADIAL INTENSITY L2=0» MEASURED INTENSITY FIT BY SEGMENTED SPLINE AND ZERO CORRECTED IF NECESSARY (LSHIFT=1) =1.SPLINE** FIT NOT **NECESSARY; SMOOTHED LATERAL PROFILE (ZERO CORRECTED) SUPPLIED AND SUBROUTINE XYCALC USED L3 =0, AVERAGE LINE PAIS TEMPERATURE AND PLOT L3 =1. TEMPERATURES OF INDIVIDUAL LINE PAIRS AND PLOT L5 =0. TEMPERATURES CALCULATED FROM I(XI & I(R) DATA** L5 =1, ONLY RADIAL INTENSITIES CALCULATED --- TEMP BY-PASSE **F-VALUE; NL DEFINES THE LOWER LIMIT, NH DEFINES UPPER LIMIT MAX NH=7 MIN NL=1** 1 2 **3** 4 **5 6 7** 8 **9 10 1 1** 1 2 **13 14 15** 16 **1 7** 1 8 **19 20** 21 22 **23 24 25 c PERCENT NL ALLOWED NH VALUES 26 c 0**=5 **1** 1,2,3,4,5,6,7 **27** 27 $\frac{1}{2}$ **1** $\frac{1}{2}$ **2** $\frac{1}{2}$ **28** $\frac{1}{2}$ **3.4.5.6.7 28** \overline{c} **2.5** $\overline{3}$ $\overline{3,4,5,6,7}$ **29 c 5» 0 4 4.5,6, 7 30 c 10.0 5 5.6,7 31** \overline{c} **25.0** $\overline{6}$ $\overline{6}$, $\overline{7}$ **c 50.0 7** T **33** C **1.** 0

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= 5, 1ST, ..., 5TH " " " " 43

C = 6, 1ST, ..., 5TH " " " " 44

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APPENDIX B:

ABEL INVERSION CALCULATIONS

General Considerations and the Abel Integral Equation

We consider a cross-section of the plasma which is circularly symmetric with respect to the Z-axls as illustrated in Figure B-1. The experimentally measured lateral intensity, $I(X)$, at displacement X, is given by the integral of the radial intensity distribution function $J(R)$, which is collected in the monochromator viewing field over the depth of the source from a horizontal section parallel to the Y-axis.¹

$$
I(X) = 2 \int_{0}^{Y(X)} J(R) dY
$$
 (B1)

The factor of 2 in Equation Bl arises from the fact that the integral limits apply to only half of the source and the radial distribution function has been assumed to be symmetric about the X-axis. When the transformation of variables defined by

 1 It is important to realize that Equation B1 expresses the geometrical relationship between the spatially resolved emission, which is projected from unit volume of a horizontal section of the source parallel to the Y-axis, i.e., $J(R)$ and, the space integrated intensity radiated over the depth of that section, i.e., $I(X)$.

Figure B-1. Spatial relationship between the measured lateral intensity, I(X), at displacement X; and, the radial intensity, $J(R)$, at radius \tilde{R} from the center of a circularly symmetric source employing side-on observation. R_B is the boundary radius at which no lateral intensity is detected

 $\omega \sim 10^{11}$

$$
R^{2} = x^{2} + y^{2}
$$

\n
$$
Y = (R^{2} - x^{2})^{1/2}
$$

\n
$$
dY = R(R^{2} - x^{2})^{-1/2} dR
$$
 (B2)

is performed, Equation B1 becomes

$$
I(X) = 2 \int_{X}^{R_{B}} R J(R) (R^{2} - X^{2})^{-1/2} dR
$$
 (B3)

where, R is the radial distance from the center of the source, R_B is the radius at the outer boundary, X is the lateral displacement from the center (Figure B-1) and, the change in limits is given by

and,
\n
$$
R = X \t at \t Y = 0
$$
\n
$$
R = R_B \t at \t Y = Y(X)
$$
\n(B4)

Equation B3 is the Abel integral equation and is a special case of the Volterra equation of the first kind (129). To solve for the unknown J(R) function. Equation B3 may be analytically inverted to yield $(36,40,129)$.

$$
J(R) = -\frac{1}{\pi} \int_{R}^{R_{B}} \frac{I'(X)}{(X^{2} - R^{2})^{1/2}} dX
$$
 (B5)

provided $J(R)=0$ for all $R>R_B$. I'(X) is the first derivative of the radiance function with respect to the lateral coordinate X, $\frac{1 \cdot e}{1}$
I'(X) = d(I(X))/dX

Various methods for solving Equation B5 have been devised; these methods can be broken into three general categories (49, **50):** (1) graphical, (2) numerical, and (3) data approximation schemes. The latter two approaches utilize curve fitting or other mathematical approximations. A number of graphical or semigraphical methods for solving Equation B3 **or** B5 have appeared in the literature (52,130-132). Priederish (52) made the assumption that the ratio, $I(X)/X$, was constant in a given Increment interval to simplify evaluation of the Abel integral over that interval; a graphical method was employed to obtain the $I(X)/X$ values. Hormann (132a) transformed the variables in Equation B5 to obtain an integral which he evaluated by graphical techniques. Despite some successful applications of these graphical methods, all are time consuming and have been outmoded by faster computer methods. We shall focus our attention on these rapid analysis methods in the following sections.

Numerical Methods

In 1935, Hormann (132a) and more recently in 1950, Gooderum and Wood (131) suggested methods for numerical integration of Equation B5. Both methods could be applied when the spectrometric measurement of lateral intensities was accomplished with a low aperture optical transfer system similar to that described in this work. Nestor and Olsen (49)

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simplified these procedures to yield a significantly improved method, especially when a large number of observed functions were to be inverted. This method was also compatible with computer analysis.

For the Nestor and Olsen method, the numerical integration of Equation B5 was performed by dividing the X-axis into N zones of equal width a, as shown in Figure B-2; the n-th zone was defined by the relationships, $X_n \leq X \leq X_{n+1}$ and $X_n = na$. With the transformation

$$
v = R^2 \text{ and } u = x^2 \tag{B6}
$$

Equation B5 became

$$
J(R(v)) = - (1/\pi) \int_{V}^{R_{B}^{2}} I'(u) (u - v)^{-1/2} du
$$
 (B7)

where, the following relationships were employed

$$
dX = (1/2) u^{-1/2} du
$$

\n
$$
(x^{2} - R^{2})^{1/2} = (u - v)^{1/2}
$$

\n
$$
d(I(u))/du = (d(I(X))/dX)(d(g(u))/du)
$$
\n(B8)

and,

$$
g(u) = X = u^{1/2}
$$

\n $I'(X) = 2 u^{1/2} I'(u)$ (B9)

When the integral in Equation B7 was divided into subintegrals for each zone and $I(u)$ was assumed to be a linear function of u in each zone, the following form was obtained

Figure B-2. Two-dimensional representation of a circularly symmetric source divided into N zones of equal width, a

for any zone, k

$$
J_{k} = J(ak) = (-1/\pi) \sum_{n=k}^{N-1} I_{n}^{(u)} \int_{(an)^{2}}^{(a(n+1))^{2}} (u - (B10))
$$
\n
$$
(ak)^{2} J_{2}^{-1/2} du
$$

where, the Integral limits and the v variable were replaced by the appropriate zone constants. $I_n'(u)$ was approximated **within each zone by**

$$
I'_n(u) = \frac{(I_{n+1}(u) - I_n(u))}{a^2((n+1)^2 - n^2)}
$$
 (B11)

Substitution of Equation Bll into BIO and subsequent integration yielded

$$
J_{k} = -\frac{2}{a\pi} \sum_{n=k}^{N-1} (I_{n+1}(u) - I_{n}(u))
$$

$$
x \frac{((n+1)^{2} - k^{2})^{1/2} - (n^{2} - k^{2})^{1/2}}{(2n + 1)}
$$
 (B12)

A transformation to the original coordinate system yielded

$$
J_{k} = -\frac{2}{a\pi} \sum_{n=k}^{N-1} A_{kn} (I_{n+1}(X) - I_{n}(X))
$$
 (B13)

where.

$$
J_{k} = -\frac{2}{a\pi} \sum_{n=k}^{n} A_{kn} (I_{n+1}(X) - I_{n}(X))
$$
(B13)
\n,
\n
$$
A_{kn} = \frac{((n+1)^{2} - k^{2})^{1/2} - (n^{2} - k^{2})^{1/2}}{(2n + 1)}
$$
(B14)

Kquatioti was further s Imp 1:1 fled to yield

$$
J_k = -\frac{2}{a\pi} \sum_{n=k}^{N} B_{kn} I_n
$$
 (B15)

wnere

$$
B_{kn} = - A_{kn} \quad \text{for} \quad n = k
$$

and

$$
B_{kn} = A_{k,n-1} - A_{kn} \quad \text{for} \quad n \ge k+1
$$
 (B16)

Other methods for numerical solution of Equation 35 are also available. Pearce (132b) suggested a procedure similar to the Nestor and Olsen method. Maldonado, et al. (53) **described a method which yielded more reliable radial emission coefficients when the measured lateral Intensity distribution showed Irregular fluctuations, especially in regions where I(X) changed gradually with lateral position X. However, this procedure was computationally more complex than the Nestor and Olsen method described above. Maldonado and Olsen (5^) generalized the method of reference 53** to **include asymmetric soui-ces and applied it to those which possessed a mirror plane of symmetry.** Olsen, **et aJ. (55) later extended this application to optically thin plasma cross-sectional geometries of arbitrary shape.**

Discussions on the errors associated with numerical methods may be found elsewhere (133,134) and will not be reiterated here. It is worth noting, however, that these

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methods generally suffer when the error level on the measured I(X) profile values is significant because the numerical solution procedure for obtaining J(R) values greatly magnifies these errors.

Data Approximation Methods

In 1966, **Cremers and Blrkebak (50) described a data approximation scheme for solution of Equation B3 or B5 which was faster than conventional numerical techniques and could readily be adapted to computer** analysis**. The basic assumption of this method was that the lateral intensity distribution function could be approximated by an n-th degree polynomial of the form**

$$
I(X) = C_0 + C_1 X + C_2 X^2 + \cdot \cdot \cdot + C_n X^n
$$
 (B17)

with the corresponding derivative function

$$
I'(X) = C_1 + 2C_2X + 3C_3X^2 + \cdots + nC_nX^{n-1}
$$
 (B18)

When Equation BI8 **was substituted into Equation B5 the following expression for the radial distribution function was obtained**

$$
J(R) = -\frac{1}{\pi} \int_{R}^{R_{B}} \frac{(c_1 + 2c_2X + \cdots + nc_nX^{n-1})}{(X^2 - R^2)^{1/2}} dX
$$
 (B19)

An analytical solution of Equation B19 was possible when the polynomial coefficients were determined by least-squares techniques and the equation was separated into "n" component Integrals.

Cremers and Birkebak cautioned that fitting the entire curve to a single polynomial resulted in peculiar radial profiles which precluded this approach because of the many poor fits that resulted. Consequently, they suggested subdividing the profiles into m zones and fitting an n-th degree polynomial to the form

$$
I_k(X) = {}_kC_0 + \sum_{i=1}^n {}_kC_i X^i
$$
, for $k = 1, 2, ..., m$ (B20)

to each zone. To assure smooth transitions from zone to zone, the polynomial fits overlapped into the adjacent zones. When the differential form of Equation B20 was substituted into Equation B5 an integrable expression was obtained.

If the integrant of Equation B5 is defined as

$$
S_k = - I_k^{\dagger}(X) / ((X^2 - R^2)^{1/2})
$$
 (B21)

a closer examination of the actual integrations indicated by this equation may be carried out. For I(X) divided into m zones and for a given R contained within a zone k such that

$$
R_{k-1} \le R \le R_k \tag{B22}
$$

the radial intensity is given by

$$
J(R) = {}_{K}F_{O}(R) + F_{1}(R)
$$
 (B23)

where, $_{k}F_{0}(R)$ and $F_{1}(R)$ are defined by

$$
{}_{k}F_{o}(R) = \int_{R}^{R_{k}} S_{k} dX
$$
 (B24)

and

$$
F_1(R) = \sum_{i=k+1}^{m} \int_{R_{i-1}}^{R_1} S_i \, dx \quad \text{for} \quad k < m
$$
\n(B25)

 $= 0$ for $k = m$

The subdivision of the I(X) profile employed in these calculations is schematically represented in Pigure B-3. Tt should be noted that the zones were counted from the center where R^-0 to the outer radius of the source where $R_m = R_R$.

Cremers and Birkebak made an additional refinement on the form of the assumed polynomial in Equation B20 because of the nature of the slope at K = Û. When Equation B20 was differentiated the following form was obtained

$$
I_{k}^{*}(X) = {}_{k}C_{1} + \sum_{i=2}^{n} ({}_{k}C_{i}) i X^{i-1}
$$
 (B26)

and it was noted that $I_K'(X) \neq 0$ at $X = 0$. Consequently, **the profile did not possess the desired zero slope at the**

Schematic representation of five zone subdivision
of the I(X) profile (bell-type curve case) Figure B-3.

center that a circularly symmetric distribution should have. To avert this problem, Cremers and Birkebak (50) suggested slope at $X = 0$, be employed for the inner-most zone, i.e., that a polynomial in x^2 which possesses the desired zero

$$
I_1(X) = I^C_0 + \sum_{i=1}^n I^C_i X^{2i}
$$
 (B27)

Therefore, solutions of Equation B5 which utilize the zone dependency of Equations B20 or B27 were considered in this dissertation research.

Cremers and Birkebak also suggested that (1) subdivision of the $I(X)$ profile into five zones and (2) 4-th degree polynomial least-squares fitting of the data, were sufficient for most cases encountered in physical systems. The following discussions of the actual integration procedures employed here have been restricted to these suggestions.

Method of integration for zones **2-5**

Examination of Equation B23 revealed that it was necessary to evaluate the integrals $_{k}F_{0}(R)$ and $F_{1}(R)$. Substitution of the 4-th degres polynomial of the form given by Equation B20 into Equation B24 yielded

$$
k^{F}o^{(R)} = -\frac{1}{\pi} \left(\int_{R}^{R_{k}} \frac{k^{C_{1}}}{G(X,R)} dX + 2 \int_{R}^{R_{k}} \frac{k^{C_{2}} X}{G(X,R)} dX + 3 \int_{R}^{R_{k}} \frac{k^{C_{3}} X^{2}}{G(X,R)} dX + 4 \int_{R}^{R_{k}} \frac{k^{C_{4}} X^{3}}{G(X,R)} dX \right) (B28)
$$

where, $n = 4$ and

$$
G(X,R) = (X^2 - R^2)^{1/2}
$$
 (B29)

Solutions for the integrals in Equation B28 may be found in most integral tables, e.g., (135). Upon integration of Equation B28 the following form was obtained

$$
k^{F}o(R) = -\frac{1}{\pi} \left[{}_{k}C_{1} \ln(X + G(X,R) + 2 {}_{k}C_{2} G(X,R) + \frac{3}{2} {}_{k}C_{3} (X G(X,R) + R^{2} \ln(X + G(X,R))) + 4 {}_{k}C_{4} (G^{3}(X,R)/3 + R^{2} G(X,R)) \right]_{R}^{R_{k}}
$$
(B30)

Evaluation of Equation B30 at the limits (R to R^{\prime}_{k}) and combining terms yielded

$$
k^{F}{}_{0}(R) = -\frac{1}{\pi} \left({}_{k}C_{1} \ln(\frac{R_{k} + G(R_{k}, R)}{R}) + 2 {}_{k}C_{2} G(R_{k}, R) + \frac{3}{2} {}_{k}C_{3} (R_{k} G(R_{k}, R) + R^{2} \ln(\frac{R_{k} + G(R_{k}, R)}{R})) + 4 {}_{k}C_{4} (G^{3}(R_{k}, R)/3 + R^{2} G(R_{k}, R))) \right)
$$
(B31)

where, the following relations were used

$$
(R_k^2 - R^2)^{1/2} = G(R_k, R)
$$

 $ln(X + G(X, R)) = ln(R_k + G(R_k, R))$ for $X = R_k$

$$
(R2 - R2)1/2 = 0 = G(R,R)
$$

and

 $\ln(X + G(X,R)) = \ln(R)$ for $X = R$

For a given R such that $R_{\mu} \leq R \leq R_{5}$, ${}_{5}F_{0}(R)$ was the only integral that required evaluation. However, when k was less than m, Equation B25 indicated that $F^1(R)$ also had to be evaluated. This set of summed integrals possessed solutions identical to those of Equation B30 except that the limits were replaced by the appropriate values from B25, $\underline{i}.\underline{e}$.,

$$
F_{1}(R) = \sum_{i=k+1}^{5} (-\frac{1}{\pi}) \left((\begin{matrix} 0 \\ 1 \end{matrix} \ln(R_{i} + G(R_{i}, R)) \right)
$$

+ $2 \sum_{i} C_{2} G(R_{i}, R) + \frac{3}{2} \sum_{i} C_{3} (R_{i} G(R_{i}, R))$
+ $R^{2} \ln(R_{i} + G(R_{i}, R)) + 4 \sum_{i} C_{i} (G^{3}(R_{i}, R)/3)$
+ $R^{2} G(R_{i}, R)) - (\sum_{i} C_{1} \ln(R_{i-1} + G(R_{i-1}, R))$
+ $2 \sum_{i} C_{2} G(R_{i-1}, R) + \frac{3}{2} \sum_{i} C_{3} (R_{i-1} G(R_{i-1}, R))$
+ $R^{2} \ln(R_{i-1} + G(R_{i-1}, R))$
+ $R^{2} \ln(R_{i-1} + G(R_{i-1}, R))$
+ $4 \sum_{i} C_{i} (G^{3}(R_{i-1}, R)/3 + R^{2} G(R_{i-1}, R)))$ (B32)

It should be noted that when the Integrals were summed from zone to zone, the predetermined least-squares polynomial

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coefficients changed smoothly to the zone under consideration because of the overlapping of the fits into adjacent zones.

Method of integration for zone 1

From the previous discussion of the desired behavior of I(X) in the neighborhood of $X = 0$ (I'(X=0) = 0) it was apparent that a polynomial of the form of Equation B27 was required for values of R when $0 \le R < R_1$, i.e., R was confined to zone 1. Differentiation, expansion, and substitution of Equation B27 into B2k yielded

$$
1^{F} \circ^{(R)} = (-\frac{2}{\pi}) \int_{R}^{R_{1}} \frac{1^{C_{1} X}}{G(X,R)} dx + 2 \int_{R}^{R_{1}} \frac{1^{C_{2} X^{3}}}{G(X,R)} dx
$$

+
$$
3 \int_{R}^{R_{1}} \frac{1^{C_{3} X^{5}}}{G(X,R)} dx + 4 \int_{R}^{R_{1}} \frac{1^{C_{4} X^{7}}}{G(X,R)} dx
$$
(B33)

where, $n = 4$ and the notation of Equation B29 was used. When the integration was performed and the limits were evaluated, $_{1}F_{\alpha}(R)$ was given by

$$
{}_{1}F_{0}(R) = (-\frac{2}{\pi}) ({}_{1}C_{1} G(R_{1}, R) + 2 {}_{1}C_{2} (G^{3}(R_{1}, R)/3 + R^{2} G(R_{1}, R)) + 3 {}_{1}C_{3} (R^{4} G(R_{1}, R) + \frac{2}{3} R^{2} G^{3}(R_{1}, R) + \frac{1}{5} G^{5}(R_{1}, R)) + 4 {}_{1}C_{4} (R^{6} G(R_{1}, R) + R^{4} G^{3}(R_{1}, R) + \frac{3}{5} R^{2} G^{5}(R_{1}, R) + \frac{1}{7} G^{7}(R_{1}, R))
$$
 (B34)

Because the other zones (**2-5)** were not affected by the change in the zone 1 equation for $I(X)$, $F_1(R)$ was evaluated in precisely the same manner established in Equation B32.

Other data approximation methods are also available for solution of the Abel integral equation (B3) or its inverted form (B5). Freeman and Katz (56) suggested a curve-fitting procedure in which a single polynomial was fitted to the I(X) profile data. However, Cremers and Birkebak (50) cautioned that this method yielded peculiar line profiles when it was applied to arc data. Barr (57) suggested a method similar to that of Cremers and Birkebak which employed polynomials determined by least-squares techniques that yielded the best fit of the data over five-point intervals centered about each data point.

Error analysis

When Equation B3 was solved for the lateral displacement $X = 0$, the following form was obtained

$$
I(X=0) = 2 \int_0^{R_B} J(R) dR
$$
 (B35)

Therefore, the area under the radial intensity profile was predicted to equal the lateral intensity at zero displacement from the plasma axis. When test data were employed $(50, 53)$, the agreement was better than 1% while for experimental data

it was $\sqrt{1}$ to 5% for bell-type profiles and $\sqrt{5}$ to 15% for toroidal curves.

Equations BSl, B32, and B34 were readily amenable to differential error treatments (8l) so that the computational uncertainties in the radial Intensities obtained could be calculated. The radial Intensity defined by these equations was a function of the polynomial coefficients, ${}_{1}C_{1}$, and the radial position R. When the uncertainty in R was assumed to be negligible, a maximum differential error treatment yielded

$$
\Delta J(R)_k \approx \sum_{j=1}^{4} \left\{ \left| \frac{\partial_k F_o(R)}{\partial_k C_j} \right| \Delta_k C_j + \sum_{i=k+1}^{5} \left| \frac{\partial F_1(R)}{\partial_i C_j} \right| \Delta_i C_j \right\}
$$
(B36)

where, the subscript k denoted the profile zone. For zone 1 the uncertainty, $\Delta J(R)$ ₁ was obtained by combining Equation B36 with the expressions for ${}_{1}F_{0}(R)$ and $F_{1}(R)$, Equations B34 and B32, respectively. For the other zones (2 \leq k \leq 5) Equation B36 was combined with Equations B31 and B32 to yield the appropriate $\Delta J(R)$ ^{values. The $\Delta J(R)$ ^{values} so obtained} represented approximations to the maximum random calculation error in the corresponding $J(R)_{k}$ values for each zone. Systematic errors such as those encountered when measuring lateral intensities were accounted for by other means, $e.g.,$ added to the random error estimates.

The uncertainties in the coefficients $(\Delta_{\hat{1}}C_j)$ were obtained from the error analysis techniques incorporated within the polynomial fitting method employed (reference 8l, Chapter 8).

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APPENDIX C:

ATOMIC PARTITION FUNCTION AND SAHA-EGGERT'S ELECTRON DENSITY CALCULATIONS

To a first approximation, partition functions appear to be easily calculated by summing over all energy levels of the element of interest which are below the ionization limit; this would be accomplished with the expression (36)

$$
Z^{z-1}(T) = \sum_{n} g_n \exp(-\frac{E_n}{kT})
$$
 (C1)

where, $z-1$ is the ionization stage and g_n is the statistical weight of the level, n, with energy E^{A} at temperature T. An immediate problem is encountered when attempts are made to apply the above procedure to the calculation of atomic partition functions namely, even the most complete listing of atomic energy levels (I36) contains only a fraction of those predicted for a given element. If the missing levels are reasonably high in energy, few problems will be experienced for temperatures below 7000 K. However, the calculated values may be seriously in error at higher temperatures or, for elements for which the missing levels are at relatively low energies. Also, when the ionization limit (E^{z-1}_{1}) of the species is approached, the sum in Equation CI diverges because the number of discrete levels is unbounded while the

corresponding energies (except for levels which autoionize; 36, p. 140) are restricted to values less than E_1^{z-1} .

Several methods for overcoming these difficulties have been reported (31, pp. 231-258). All these theories share the premise that there exists a finite maximum principal quantum number n* (sometimes referred to as the "effective" quantum number) and, accurate partition function values are obtained only when all energy levels for values of n below n* are considered in the calculation. The effective quantum number (n^*) and the corresponding energy (E_{n*}) are functions of temperature, electron number density, ion densities, and the effective nuclear charge of the species. Therefore, the summation in Equation CI must be truncated at the reduced ionization limit (RIL), $E^{z-1}_i - \Delta E^{z-1}_i$ where, ΔE^{z-1}_i is the ionization lowering for the species in question (36). In this manner, only those energy levels less than or equal to the RIL value are counted. This truncation precludes the possibility of counting a single level twice, once in a bound state and once in a free state.

The energies of the levels near the ionization limit which are sufficiently hydrogen-like, are given by the Rydberg formula **(36)**

$$
E_1^{Z-1} - E_{n\ell}^{Z-1} = \frac{z^2 E_H}{n^2}
$$
 (c2)

where, E^{H} is the ionization energy of atomic hydrogen (109,679 cm^{-1}) and n \geq 4. The statistical weight of the level $E^{z-1}_{n\ell}$, is given by $g_n = 2n^2$. When the term value, $-E_H/(n^*)^2$, is combined with Equation C2, the effective principal quantum number is given by

$$
n^* = \left(\frac{z^2 E_H}{\Delta \epsilon}\right)^{1/2} \tag{C3}
$$

where, $\Delta \epsilon = E^{z-1}_{i} - E^{z-1}_{n\ell}$. The Ritz formula (117) may then be written as

$$
n^* = n - \alpha - \frac{z^2}{n^2} \beta \tag{C4}
$$

where, $z = 1$ for neutral atoms, $z = 2$ for singly charged ions, $z = 3$ for doubly charged ions, etc., and α , β are series parameters. Drawin and Felenbok (31) suggested that Equation C4 be used to complete each spectral series that was considered in the partition function calculation. Generally. the last two members observed in the series were used to evaluate α and 3, which were subsequently employed with Equation C4 to calculate the remaining members of the series. In the case where a spectral series was predicted but for which no members were observed, an alternate series as closely related as possible was substituted and its degeneracy approximately increased to account for the unobserved terms. This method is reasonably accurate for elements with simple energy level schemes, however, its application to complex systems is overly elaborate. An alternate approach was reported by Griem **(36)**
and was applied to plasma simulation calculations by Barnett **(137).** This method for calculating atomic partition functions employed only those levels from reasonably complete configurations for which all states with principal quantum numbers less than a maximum value were observed. In this approach, the effective quantum number was defined by

$$
n^* = n_{\max} \leq (\frac{z^2 E_H}{E_1^z - 1})^{1/2}
$$
 (C5)

where, hydrogen-like character was assumed, Only those levels E_n with $n \le n_{max}$ contributed to the partition function calculation. The procedure involved two steps: (1) selection of n', the highest usuable principal quantum number for the species, and (2) addition of a correction factor (from n' to n_{max}) with hydrogen-like character assumed but still accounting for multiplicity differences. The complete partition function was then approximately given by **(36)**

$$
z^{z-1}(T) \approx \sum_{n=1}^{n} g_n \exp(-\frac{E_n}{kT}) + (2S_1 + 1)(2L_1 + 1)
$$

$$
\sum_{n=n+1}^{n} g_n \exp(-\frac{E_1^{z-1} - (z^2 E_H/n^2)}{kT})
$$
(C6)

where, the first summation was made over those levels which were included in the complete or nearly complete configurations and, the second summation was the correction term. In the second summation, S_1 and L_1 were the spin and orbital quantum numbers of the parent configuration, $i.e.,$ the ground state of the next higher ionization stage z. The degeneracies in the first summation were given by $g_n = 2J_n+1$ where, J_n was the orbital angular momentum quantum number for the (discrete) level E_n and, the index n referred to all relevant quantum numbers (36).

Barnett (137) demonstrated that the Ritz completion method and Griem's method yielded parallel trends in partition function calculations for reasonable temperatures (below 15,000 K). Therefore, because Griem's method was computationally simpler. Equation C6 was employed to calculate the neutral atom $(z = 1)$ partition functions of several elements for subsequent use in Saha-Eggert's electron density studies for this dissertation research. The correction term was generally not needed for singly charged and higher ionization stages because the missing levels were high in energy for the elements considered. The value of n' for each element was determined in the following manner: (1) all observed spectral series were tabulated (136) with the corresponding maximum observed n values $(n_{max});$ (2) a weighted maximum principal quantum number, n_{w} , was calculated according to the total degeneracy of each series term $(n_{w} = (2S+1)(2L+1)(n_{max}));$ (3) a weighted average maximum principal quantum number was defined by

$$
n_{wa} = \frac{1}{N_d} \sum_{i=1}^{N} (n_w)_i
$$
 (C7)

where, N_A = sum of the degeneracies of the observed spectral terms

$$
N_d = \sum_{j=1}^{N} (n_{max})_j
$$
 (c8)

and, $N =$ number of observed spectral terms; (4) n' was taken as the largest integer satisfying the inequality $n' \leq n_{wa}$; and (5) the correction was begun at $n'' = (n'+1)$ where, n" was the smallest integer which satisfied the condition, $n_{\text{max}} \leq n$ ". Griem **(36)** concluded that the best procedure for selecting n' was neither clearly established nor extremely critical because the last terms of the correction sum rend to dominate its contribution to the partition function calculation. The approach outlined here was reasonable because the maximum principal quantum numbers of the observed levels were weighted according to the degeneracy of the spectral term of the series to which they belonged ($n^{\rm max}$ values) and, the correction for missing levels was begun above a weighted average of these n_{max} values. The partition function values calculated by this procedure were in reasonable agreement with those reported by Drawin and Felenbok (31) and those calculated by Barnett (137) for temperatures below 10,000 K.

Use of the Saha-Eggert's Electron Density Program

A FORTRAN IV computer program was written to perform the radial Saha-Eggert's electron density calculations and a complete listing of the source statements is included as C337EDNS. This program employed the partition function values which were calculated by the procedure discussed above. The data card requirements for this program are listed in Table C-1.

Type #	# Cards	Columns	Variable Name	Format	Remarks
1	\mathbf{I}	$1 - 5$	NSETS	I5	Number of data sets; one data set per Saha element
2	500	$1 - 8$	TSYM	2A ⁴	Element identifier of partition
	(max)	$11 - 20$	TTEST	F10.0	function arrays Temperature array for partition functions
		$21 - 30$	QTEST(1, i) F10.0		Partition function array for neutral atom species
		$31 - 40$	$QTEST(2,1)$ $F10.0$		Partition function array for first ion species
		$41 - 50$	QTEST(3,1) F10.0		Partition function array for second ion species
\mathfrak{Z}	1/set	$1 - 5$	NRUNS	I5	Number of runs in a given data set
4	1/run	$1 - 73$ $71 - 75$	XIDENT NUMAQP	35A2 I5	Data set identification label Number of transition probability sources (5 max)
5	$1/\text{run}$	$1 - 10$	WAVEA	F10.0	Wavelength of atomic line (Angstrom units)
		$11 - 20$	WAVEI	F10.0	Wavelength of ionic line (Angstrom units)
		$21 - 30$	GATOM	F10.0	Degeneracy of atomic line emitting level
		$31 - 40$	GION	F10.0	Degeneracy of ionic line emitting level
		$41 - 50$	EQATOM	F10.0	Excitation energy of atomic line (cm units)

Table C-1. Data card requirements for C337EDNS

Type Ħ	# Cards	Columns	Variable Name	Format	Remarks	
		$51 - 60$	EQION	F10.0	Excitation energy of ionic line cm^{-1} units)	
бa	1/run	$1 - 50$	A(1,j)	5F10.0	Transition probability array for atomic line (5 max)	
6 _b	1/run		A(2, j)		Same as 6a except for ionic line	
$\overline{7}$	$1/r$ un	$1 - 10$	XIP	F10.0	Ionization energy of atomic species (cm^{-1} units)	
		$11 - 20$	DELXIP	F10.0	Ionization energy lowering	
8	$1/\mathrm{run}$	$1 - 5$	NR.	I5	Number of radial positions	
9	NR/run	$1 - 10$ $11 - 20$	ТR \mathbf{R}	F10.0 F10.0	Radial temperature array Corresponding radial position array	
10a	NR/run	$1 - 10$ $21 - 30$	XI(i,1) DELIR $(1,1)$ F10.4	F10.0	Radial intensity array for atomic line Corresponding relative uncertainty array $(\%)$	
10 _b	NR/run		XI(1,2) DELIR $(1, 2)$		Same as 10a except for ionic line Same as 10a except for ionic line	

Table C-1. (Continued)

 $\sim 10^7$

 $\overline{3}$ 4 5
6 ********** C337EDNS ********* 7 PROGRAM TO CALCULATE ELECTRON DENSITIES FROM RADIAL TEMPERATURE $\dot{\mathbf{a}}$ $\ddot{\mathbf{q}}$ AND ATOM. ION LINE INTENSITIES 10 11 NUMAGP=NUMBER OF TRANSITION PROBABILITY PAIRS (5 MAX) 12 NSETS=NUMBER OF DATA SETS 13 TSYM=ELEMENT IDENTIFIER FOR QTEST ARRAY ALSO STOPS TTEST READ 14 TTEST=TEMP ARRAYFOR INPUT PARTITION FUNCTIONS 15 QTEST(1,I)=PARTITION FUNCTION ARRAY FOR ATOM 16 $\bullet\bullet$ $\bullet\bullet$ $QTEST(2, I) =$ $\bullet\bullet$ $B1$ IST ION 17 $\bullet\bullet$ 18 $QTEST(3,1) =$ \bullet 2ND 10N NRUNS=NUMBER OF RUNS PER DATA SET 19 2õ. XIDENT=IDENTIFICATION OF DATA SET WAVEA=ATOM LINE WAVELENGTH (ANGSTROM UNITS) 21 $\bullet\bullet$ $\bullet\bullet$ 0.8 22 WAVEI=ION **BEE** GATOM=UPPER LEVEL DEGENERACY FOR ATOM LINE 23 \mathbf{H} $\dddot{\bullet}$ $\bullet\bullet$ \mathbf{H} 24 $GION = "$ ION EGATOM=EXCITATION POTENTIAL OF ATOM LINE (RECIPROCAL CM UNITS) 25 \bullet $\bullet\bullet$ " ION **TO** \mathbf{u} \bullet 26 **Contract Contract Street** EQION= 27 A(1.J)=TRANS PROB ARRAY FOR ATOM LINE(5 MAX) $A(2, J) =$ " $\bullet \bullet$ $\bullet\bullet$ $\bullet \bullet$ **TON** \mathbf{f} **A** 28 29 XIP=IGNIZATION POTENTIAL OF ATOM SPECIES (RECIPROCAL CM UNITS) \bullet $\bullet \bullet$. The set of \bullet \mathbf{r} 30 DELIXP=LOWERING OF IONIZATION POTENTIAL 31 NR=NUMBER OF RADIAL POSITIONS 32 TR(I)=RADIAL TEMP ARRAY 33 $R(I) =$ DIST **IT** $\bullet \bullet$ XI(I, 1)=RADIAL INTENSITY ARRAY FOR ATOM LINE 34 $\bullet\bullet$ $\bullet\bullet$ \bullet 35 $^{\bullet}$ ION $X[(1,2) = 0]$ DELIR(I,1) = RADIAL ERROR ARRAY (PERCENT) FOR ATOM LINE 36 DELIR(I.2) = RADIAL ERROR ARRAY (PERCENT) FOR ION LINE 37 38 39 40 DIMENSION SUMDEN(51), SUMRAT(51), SUMS(51), DELIR(51,2) 41 DIMENSION TTEST(500), S(51) DIMENSION TSYM(2), QTEST(3,500), QZERO(51), QPLUS(51), RATION(51) 42 DIMENSION XIDENT(35), TR(51), R(51), XI(51, 2), EDENS(51), A(2, 5) 43 44 DATA CHECKT/'ENDT'/ 45 READ(S,100) NSETS 46 100 FORMAT(15) 47 DO 999 INDEX=1, NSETS 48 $DQ = I = 1.500$ 49 4 FORMAT(2A4,2X, F10.0, 3F10.4) READ(5,4) TSYM, TTEST(I), QTEST(1,I), QTEST(2,I), QTEST(3,I) 50.

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APPENDIX D:

CONVOLUTION AND H_o ELECTRON DENSITY CALCULATIONS

We consider the convolution Integral for the "folding" of lorentzlan and gaussian line profiles (61,93)

$$
I^{F}(\Delta\lambda^{*}) = \int_{-\infty}^{+\infty} I^{G}(\Delta\lambda) I^{L}(\Delta\lambda^{*} - \Delta\lambda) d(\Delta\lambda)
$$
 (DI)

where, $I^G(\Delta\lambda)$ = gaussian profile, $I^L(\Delta\lambda)$ = lorentzian profile, and $I^F(\Delta \lambda)$ = folded line profile. Equation Dl mathematically expresses the effect of superlmposltion of the "smearing" function I^L on the gaussian line profile I^G . Each gaussian intensity contribution $I^G(\Delta \lambda)$ at displacement $\Delta \lambda$ from the unperturbed line center is smeared out over all other positions of the profile by the lorentzian broadening function centered at $\Delta\lambda$. The contribution of this smeared intensity to the I^F profile at a distance $\Delta\lambda^*$ from the unperturbed line center is given by the product of the gaussian at $\Delta\lambda$, $I^{\tilde{G}}(\Delta\lambda)$, with the lorentzian centered at $\Delta\lambda$, i.e., $I^L(\Delta\lambda^* - \Delta\lambda)$. The resulting folded intensity at the point $\Delta\lambda^*$ from the unperturbed line center is obtained by integration over all intensities contributing at $\Delta\lambda^*$.

The total area under the line envelope remains constant so it is convenient to normalize

$$
\int_{-\infty}^{+\infty} \mathbb{I}^{G}(\Delta \lambda) d(\Delta \lambda) = \int_{-\infty}^{+\infty} \mathbb{I}^{L}(\Delta \lambda) d(\Delta \lambda) = 1
$$
 (D2)

and, consequently

$$
\int_{-\infty}^{+\infty} \mathbb{I}^{\mathbb{F}}(\Delta \lambda) d(\Delta \lambda) = 1
$$
 (D3)

Because convolution is commutative, either the gaussian or the lorentzian profile may be considered to be the smearing function; this is shown as follows. First, we define

$$
\Delta \lambda' = \Delta \lambda^* - \Delta \lambda \tag{D4}
$$

then,

$$
\Delta\lambda = \Delta\lambda^* - \Delta\lambda^*
$$

and

$$
d(\Delta\lambda^*) = d(\Delta\lambda^*) - d(\Delta\lambda)
$$
 (D5)

but.

$$
d(\Delta \lambda^*) = 0 \tag{D6}
$$

thus.

$$
d(\Delta\lambda^{\dagger}) = - d(\Delta\lambda) \tag{D7}
$$

The change in the integration limits is given by

$$
\Delta\lambda = -\infty \implies \Delta\lambda' = +\infty
$$

and

$$
\Delta\lambda = +\infty \implies \Delta\lambda' = -\infty
$$
 (D8)

Combining Equation D1 with the variable transformations given by Equations D5, D7, and D8 yields

$$
I^{F}(\Delta\lambda^{*}) = -\int_{+\infty}^{+\infty} I^{G}(\Delta\lambda^{*} - \Delta\lambda^{*}) I^{L}(\Delta\lambda^{*}) d(\Delta\lambda^{*})
$$
 (D9)

but, the $\Delta\lambda'$ variable is only an integration dummy so this equation may be written

$$
I^{F}(\Delta\lambda^*) = \int_{-\infty}^{+\infty} I^{L}(\Delta\lambda) I^{G}(\Delta\lambda^* - \Delta\lambda) d(\Delta\lambda)
$$
 (D10)

where the relationship

$$
-\int_{+\infty}^{-\infty} = \int_{-\infty}^{+\infty}
$$

has been used.

A FORTRAN IV computer program was written to perform the convolution calculations described in Chapter II of this dissertation and a complete listing of the source statements is Included as C337COMV. The Cal-Comp plotting facility described in Appendix A was (optionally) employed to produce plots of the H_g Stark profiles folded with Doppler and instrument contributions, which were obtained from this program. This was accomplished in the PLOT subroutine, which made use of the SIMPLOTTER program library described in Appendix A. The PLOT subroutine and the CALL PLOT

statement in the main program should be removed for Installations where SIMPLOTTER is not available; the plotting capability will be lost if this is done.

The user input variables for this program are defined at the beginning of the C337CONV listing. The card input of Stark profile data was designed to accommodate the format employed in the Stark profile tabulations of Vidal et al. (98). Either the Instrument broadening profiles which were read from data cards (ICONV = 1) or, internally generated Doppler profiles for the temperatures employed in the calculations of reference 98 (ICONV = 0) could be employed as smearing functions. The broadening profile could be symmetric (ISYMBP = 0) for which only intensities at positive displacements from the center were required or, it could be asymmetric (I3YHBF - 1) for which complete profile data were required.

Because the comment cards included in the C337CONV listing are generally self-explanatory, only a brief description of the program operation will be presented here. First, the number of data sets (NSETS) was read where one data set was associated with each instrument broadening profile employed. The value of the primary DO loop variable (NUMSET) ranged from 1 to NSETS. Second, the appropriate number of runs (NRUNS) was read for the NUMSET value where NRUNS corresponded to the number of different electron density (n_o) values associated with the data set. Third, the relevant

I8l

instrument profile variables and the profile itself were read from data cards. Fourth, the variables associated with the run were input from data cards. Fifth, the reduced wavelength scaling factor defined by (98)

$$
DENOM = 1.25 \times 10^{-9} n_e^{2/3}
$$
 (D11)

was calculated for the electron density run. The wavelength displacements and half-widths of the instrument profile were divided by this scaling factor to yield reduced values and the Instrument profile was area normalized. Sixth, the appropriate area normalized reduced Stark profile data (98) for positive displacements were read from data cards for the electron density run. Seventh, the convolution Integration calculations were performed and the resulting folded profiles were area normalized. Finally. the half-widths of the folded profiles were determined by appropriate Interpolation methods **(98).**

Within the convolution calculation section of the program, the ratio of the reduced Instrument profile halfwidth to that of the appropriate reduced Stark profile, i.e., $\Delta \lambda^G_{\;\;{\bm \downarrow}}/\Delta \lambda^S_{\;\;{\bm \downarrow}}$ was calculated to determine the "narrowest" of the two profiles. When this ratio was 1.5 or greater, the convolution was integrated with respect to the "narrow" Stark profile (see Equation DIO) but, for values less than 1.5 it was performed with respect to the gaussian-like instrument

profile (Equation Dl). The Instrument profile displacement axis was divided into 100 parts for the Integration calculations and the corresponding Intensity values were obtained by interpolation **(98)** between the original data points.

Before the empirical "narrowness" test was devised the convolution integration had been carried out exclusively over the reduced Stark profile displacement variable and very serious errors in calculated $I^F(\Delta \lambda^*)$ values were subsequently obtained, because integration over the wide reduced Stark profiles often obscured the effect of the narrower reduced Instrument broadening profile. When this test was incorporated into the program the fine structure of these profiles was not lost and, consequently, the accuracy of the convolution calculation was significantly improved.

The convolution calculation was carried out over successive four-point segments from the negative to the positive integral limits: these limits were determined from the Stark and instrument broadening profiles employed in these calculations. The appropriate $I^G \cdot I^S$ products from Equation Dl **or** DIO were calculated for each segment and the area of that segment was determined which the DCSIQU function or the RLFOTH and RLDOPM subroutines. These routines were obtained from the International Mathematical and Statistical Libraries (IMSL) subroutine library (138) which was available at the ISU Computations Center.

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The half-widths, profile maximum Intensity values, and profile center intensities obtained here agreed within 1-3% with the corresponding values from Vidal et al. (98) when these H_{β} Stark and Doppler profile data were used to test the convolution method developed in this dissertation research. The accuracy of this method was better than 0.1% for pure Doppler-Doppler test convolutions; the folded profile halfwidths and intensity values could be directly calculated for these data (93).

The contents of the data cards required for operation of the C337COMV program are listed in Table D-1.

H_B Electron Density Program

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The FORTRAN IV computer program which was written to perform the electron density calculations from Stark broadening measurements on the H $_\beta$ line (C337BROD) is listed after the convolution program. Electron densities were calculated in this program with the iterative approximation procedure outlined in Chapter II of this dissertation. The comment cards at the beginning of the listing of C337BROD define all input variables necessary for the operation of this program. The ALFA array in lines **33 to 38** of this program contained the reduced half-widths $(\alpha_{\mathbf{k}}^{\prime})$, which were calculated for the $H_{\mathbf{g}}$ line with the spectroscopic equipment employed in this investigation. These values were obtained with the convolution

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program discussed above (C337CONV) and are shown in Figure 2 (Chapter IV) of this dissertation. Table D-2 outlines the contents of the data cards required for operation of C337BROD.

Type #	# Cards	Columns	Variable Name	Format	Remarks
1	$\mathbf 1$	$1 - 5$	NSETS	I ₅	Number of data sets
\overline{c}	$1/\text{set}$	$1 - 5$	NRUNS	I ₅	Number of runs for a given data set
3	1 /set	$1 - 5$	NPOINT	I ₅	Number of points in the instrument profile array
		$6 - 10$	ICONV	I ₅	Doppler/instrument profile convolution selection
		$11 - 15$	ISYMBP	I5	Symmetric/asymmetric instrument profile switch
		$16 - 20$	IPLOT	I5	Plot option switch
4	NPOINT $\sqrt{\text{set}}$	$1 - 10$	WAVE	F10.0	Wavelength displacement array of instrument profile (Angstrom units)
		$11 - 22$	PRFINT	E12.5	Corresponding instrument profile intensity array
5	1/run	$1 - 60$ $61 - 68$	TITLE HLINE	15A4 2A4	Experiment label for run calculations Name of hydrogen line corresponding to ILINE value
6	1/run	$1 - 5$	ISKIP	I5	Option to print calculation iterations (normally 0, i.e., not printed)
		$6 - 10$	ILINE	I5	Number corresponding to H_g , H_v , or H_δ convolution
		$11 - 15$	NTLOW	I ₅	Beginning NT value for convolutions (see comment cards and reference 98)
		$16 - 20$ $21 - 32$	NTUP DENS	15 E12.5	Ending NT value Electron density for the run
		$36 - 55$	LDENS	5A4	Graph label for plot identification

Table D-1. Data card requirements for C337CONV

		Name			
1/run	$1 - 5$ $6 - 10$ $11 - 15$	NALPHA NCONV ISYMCP	I5 I5 I5	Number of Stark profile data points Number of convolutions Symmetric/asymmetric Stark profiles to be convoluted with instrument broadening profile	
	$21 - 25$ $26 - 30$	IREAD IPROFL	I5 I5	profiles Option to input profile data from disk file (see comment cards) Option to apply asymptotic wing formula in convolution calculation	$1\,8$
NAPHA /run	$1 - 10$ $11 - 70$	ALPHA STARK	F10.0 5E12.5	(see comment cards) Reduced displacement array for Stark profiles Stark profile intensity arrays for temperatures from NTLOW to NTUP (see comment cards and reference 98)	$\overline{}$
		$15 - 20$	IPUNCH	I5	Option to punch convoluted (folded)

Table D-l. (Continued)

 $\sim 10^7$

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 $\sim 10^{-1}$

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 $\overline{1}$

 $\label{eq:2.1} \mathcal{L}(\mathcal{L}(\mathcal{L})) = \mathcal{L}(\mathcal{L}(\mathcal{L})) = \mathcal{L}(\mathcal{L}(\mathcal{L})) = \mathcal{L}(\mathcal{L}(\mathcal{L}))$

 \sim \sim

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 $\mathcal{L}^{\text{max}}_{\text{max}}$ and $\mathcal{L}^{\text{max}}_{\text{max}}$

Type #	# Cards	Columns	Variable Name	Format	Remarks
l	1	$1 - 5$	NSETS	15	Number of data sets
\mathfrak{S}	$1/\text{set}$	$1 - 5$	NRUNS	I5	Number of runs within data set
3	$1/\text{run}$	$1 - 60$ $61 - 68$	TITLE HLINE	15A4 2A ⁴	Experiment label Name of hydrogen line
4	1/run	$1 - 5$ $6 - 10$	ILINE NRAD	I5 I5	Line identification number Number of positions for electron density calculations
5	NRAD /run	$1 - 10$ $11 - 20$ $21 - 30$	RAD. TRAD HALF	F10.0 F10.0 F10.0	Radial position array Corresponding radial temperature array Corresponding radial half-width array

Table D-2. Data card requirements for C337BROD

 $\mathcal{L}^{\text{max}}_{\text{max}}$ and $\mathcal{L}^{\text{max}}_{\text{max}}$

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 ~ 100 km $^{-1}$